

1992

The synthesis of Fumonisin B1 analogs and silicon crosslinking agents

Jacqueline Marie Applegate
Iowa State University

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Applegate, Jacqueline Marie, Ph.D.

Iowa State University, 1992

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Ann Arbor, MI 48106



**The synthesis of Fumonisin B₁ analogs and
silicon crosslinking agents**

by

Jacqueline Marie Applegate

**A Dissertation Submitted to the
Graduate Faculty in Partial Fulfillment of the
Requirements for the Degree of
DOCTOR OF PHILOSOPHY**

**Department: Chemistry
Major: Organic Chemistry**

Approved:

Signature was redacted for privacy.

In Charge of Major Work

Signature was redacted for privacy.

For the Major Department

Signature was redacted for privacy.

For the Graduate College

**Iowa State University
Ames, Iowa**

1992

DEDICATION

This dissertation is dedicated to my parents, John and Sharon Applegate. Throughout my life, their love, encouragement, and values have influenced me and made me the person I am today. Thank you, Mom and Dad.

TABLE OF CONTENTS

	Page
GENERAL INTRODUCTION	1
PAPER I. THE SYNTHESIS OF FUMONISIN B₁ ANALOGS	2
INTRODUCTION	3
RESULTS AND DISCUSSION	11
CONCLUSION	32
EXPERIMENTAL	33
REFERENCES	46
PAPER II. THE SYNTHESIS OF SILICON CROSSLINKING AGENTS	50
INTRODUCTION	51
RESULTS AND DISCUSSION	58
CONCLUSION	70
EXPERIMENTAL	71
REFERENCES	76
GENERAL SUMMARY	78
ACKNOWLEDGMENTS	79

GENERAL INTRODUCTION

The synthesis of natural products and heterocyclic compounds has been and continues to be an important and active area of research in organic chemistry. This dissertation will examine strategies toward analogs of fumonisin B₁ and silicon heterocycles. Both the biological activity and unusual structure of fumonisin B₁ make it an interesting synthetic target. The use of silicon heterocycles as crosslinking agents in polymer chemistry make them challenging synthetic targets with industrial applications.

Explanation of Dissertation Format

This dissertation is divided into two papers with each paper preceded by an introduction. The two papers are intended to be separate, publishable articles. The first paper deals with the synthesis of fumonisin B₁ analogs. The second paper deals with the synthesis of nitrogen and oxygen silicon heterocycles. A general summary of both papers will follow the second paper.

PAPER I. THE SYNTHESIS OF FUMONISIN B₁ ANALOGS

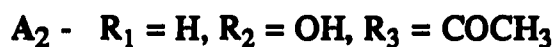
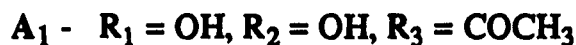
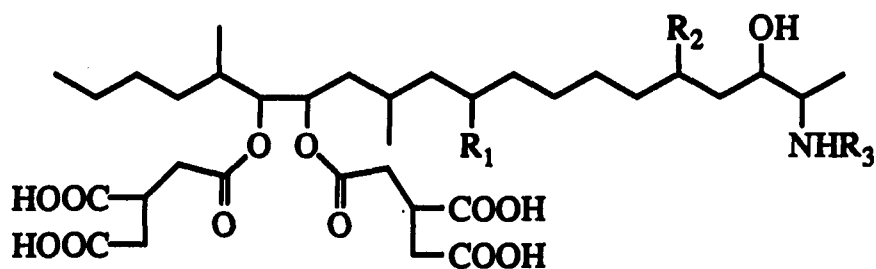
INTRODUCTION

The fungus *Fusarium moniliforme* Sheldon is one of the most important ear rot pathogens of corn. Dry, warm weather favors development of the disease. The infection occurs through injury of the kernels by insects, growth cracks, and weather. The infected kernels develop a white to pink color which become a powdery or cottony-pink mold as the disease progresses.¹ This mold can produce mycotoxins known as the fumonisins.

The toxicity of the mold was initially discovered when an isolate of *Fusarium moniliforme* from corn grown in Transkei, Africa was tested. This area of southern Africa has a high incidence of esophageal cancer in humans and the cause was unknown.² This isolate was found to be toxic to experimental animals and induced the neurotoxic disease leukoencephalomalacia in horses.³ It was hepatocarcinogenic to rats⁴ and mutagenic to *Salmonella typhimurium*.⁵

The carcinogenicity of the fungus accelerated the research on isolation of the toxins. The isolation and structural elucidation of the fumonisins, a family of related mycotoxins, was published in 1988.⁶ Extraction of cultures of *Fusarium moniliforme* grown on sterilized corn with aqueous methanol yielded an active extract. Fractionation of the extract with macroreticular polystyrene resin (XAD-2), Sephadex LH-20, and reversed phase silica gel chromatography resulted in the isolation of a mixture of fumonisin B₁, B₂, A₁, and A₂. Recently, fumonisin B₃ was isolated.⁷ Fumonisin B₁ is often abbreviated as FB₁.

Fumonisin



Various spectroscopic methods such as liquid secondary ion mass spectrometry, carbon-13 NMR, proton NMR, two-dimension (2D) (¹H, ¹H) correlation spectroscopy, and electron impact mass spectroscopy were vital in elucidation of the structures.

As the scientific importance of fumonisins was realized, researchers began to develop experimental conditions for the optimal production of fumonisin in culture.⁸ The study evaluated the effects of temperature and incubation on FB₁ production. The most effective growth culture is seven weeks at 25 °C. The FB₁ was also found to be heat stable. After boiling the culture for 30 minutes and oven-drying at 60 °C for 24 hours, there was no difference in the FB₁ concentration of

boiled and untreated culture materials. The boiled culture material also retained its cancer-promoting activity in rats. This data indicates that FB₁ is not destroyed by cooking and could enter the human food chain.

Scientists have developed improved methods for detecting fumonisins. Initially, high performance liquid chromatography (HPLC) analysis of its maleyl derivative (ultraviolet detection) and of its fluorescamine derivative (fluorescence detection) were used to detect FB₁.⁹ Next, a quantitative and sensitive HPLC method for simultaneous determination of FB₁ and FB₂ in naturally contaminated corn and mixed feed was developed.¹⁰ Derivatization of the primary amine moiety with o-phthalaldehyde yielded fluorescent products which were separated by reverse-phase isocratic chromatography using a methanol : phosphate buffer at an acidic pH to suppress ionization of the tricarballic acid moieties. A more sensitive method for preparative scale isolation was published which allowed for separation of various fumonisin compounds using the mobile phase of chloroform : methanol : acetic acid (6:3:1).¹¹ Although these methods for laboratory use are reliable and successful, scientists began to develop more rapid and accurate tests which could be used to test for fumonisins.

The development of bioassays would be an easier method to use. The generation of antibodies which are reactive with Fumonisins B₁, B₂, and B₃ would be useful to monitor human and animal exposure in foods.¹² Fumonisin polyclonal antibodies were produced by using a conjugate of FB₁-CT (cholera toxin) as the immunogen. The sensitivity was sufficient to detect 0.5 to 1 ppm of fumonisin in corn or feed. This

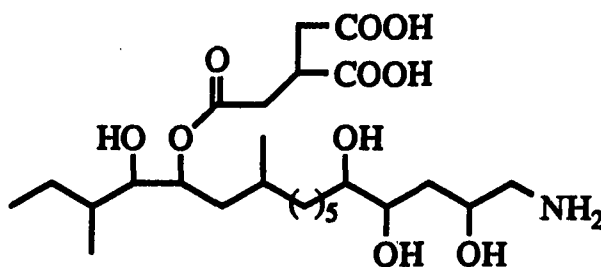
finding will allow use of the enzyme-linked immunosorbent assay (ELISA) for fumonisins in foods, feeds, and tissues. The examination of cultured mammalian cell lines indicated that this could be a useful method of bioassay.¹³ The studies showed MDCK dog kidney epithelial cells are the preferred cell line for routine evaluation, and H4TG cell lines could be used although the culture growth was inconsistent. The MDCK cell line could detect 200 ng of fumosinin B₁ or B₂.

With the toxic effects of FB₁ known, a survey of fumonisin exposure of humans and animals was established. Forty toxic *Fusarium* isolates were tested for fumonisin production.¹⁴ The fumonisin production was restricted to the species *F. moniliforme* (corn) and *F. proliferatum* (corn and sorghum). The contamination of commercial corn-based human foodstuffs was examined.¹⁵ Cornmeal, corn grits, cornflakes, and miscellaneous products were examined from Canada, Egypt, Peru, South Africa and the United States. Cornmeal was positive for FB₁ in all five countries. Corn grits and cornflakes were examined only from South Africa and the United States, with positive results for corn grits and negative results for cornflakes. The most significant result was the high level of FB₁ in the United States foodstuffs relative to the other 5 countries (48.6% of samples had less than 500 ng/g and 51.4% had greater than 500 ng/g). These figures indicate humans are being exposed to fumonisins at a significant level.

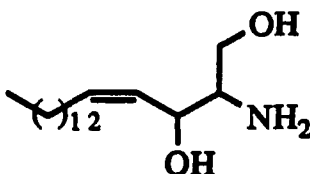
A safe level of consumption of contaminated grain was established for horses and swine. The minimum FB₁ feed levels were determined for inducement of leukoencephalomalacia (ELEM) and porcine

pulmonary edema (PPE).¹⁶ Concentrations greater than 10 ppm in the feed induced ELEM and concentrations greater than 17 ppm in swine induced PPE.

Although new discoveries about fumonisins are being made, no one knows why fumonisins are carcinogenic. Fumonisins are structurally similar to the phytotoxin shown below produced by *Alternaria alternata*.¹⁷



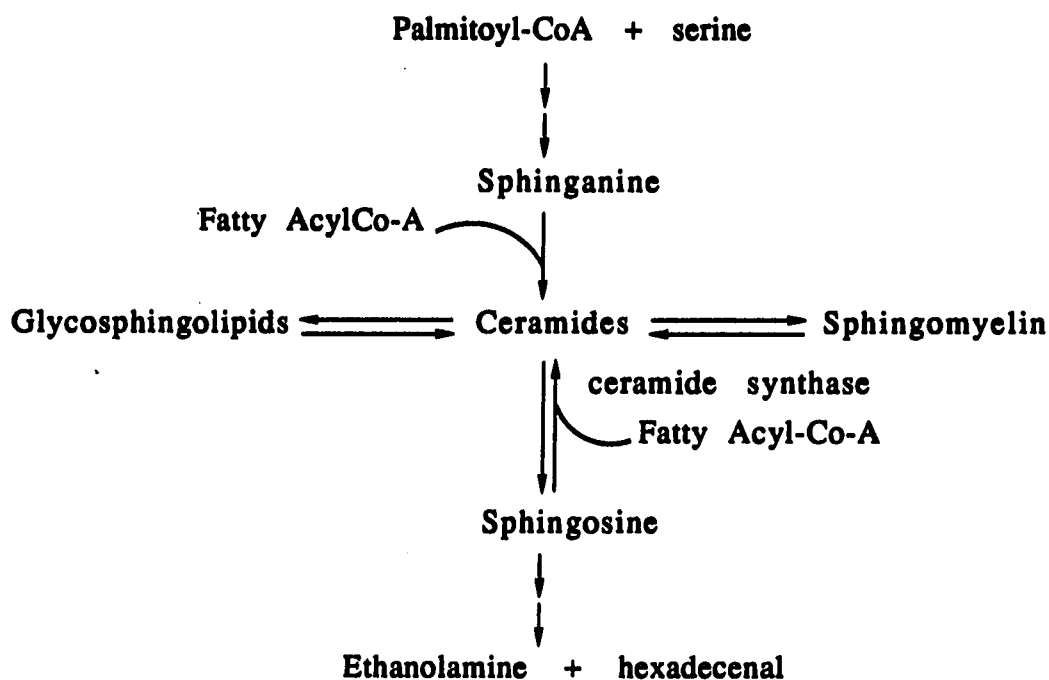
They are structurally similar to sphingosine.



Sphingosine

Fumonisins are considered tumor promoters because their culture material induces γ -glutamyl transaminase positive foci in rat liver which is a well established bioassay for tumor promoters.¹⁸ Fumonisins are known inhibitors of sphingosine biosynthesis. Sphingosine is the chemical backbone of all sphingolipids, including sphingomyelin,

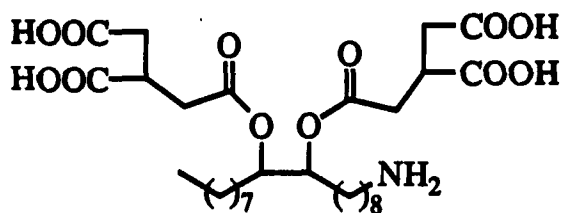
ceramides, and gangliosides. These lipids are involved in a number of cellular functions such as cell-cell communication, growth factor receptors, and transformation of cells. Interruption of sphingolipid biosynthesis could have adverse effects on an organism's health.



Fumonsins were found to alter the incorporation of radiolabelled serine into sphingosine. The site of action of the fumonisins appears to be ceramide synthase (sphingosine- and sphinganine-N-acyltransferase) in which fatty acyl-CoA combined with sphinganine or sphingosine to form dihydroceramide or ceramide. The interruption of sphingolipid biosynthesis may be responsible for the toxic effects of fumonisins. Sphingosine is a potent inhibitor of protein kinase C. It is known that tumor promoters stimulate protein kinase C; therefore, sphingosine may

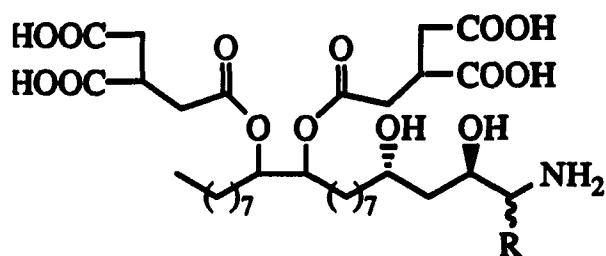
act as an antitumor agent. Since fumonisins inhibit biosynthesis of sphingosine, fumonisins may deregulate protein kinase C which then alters normal biochemical events. Another theory states that the tricarballylic acid sidechains of fumonisin may induce the toxicity. The sidechains may bind calcium and serve as a lipophilic calcium carrier. Calcium has been implicated in the mechanism of action of some tumor promoting agents.¹⁹ Further examination of fumonisins is needed to determine the actual mode of activity.

From a chemical perspective, synthetic organic chemists can aid in this research by synthesizing analogs of fumonisin B₁. Those analogs of fumonisin which exhibit fumonisin activity will enable toxicologists to accurately study the effects of mycotoxins. Determination of the minimum structural requirements for activity may enable researchers to prepare inhibitors of fumonisins. Our strategy was to prepare analogs from commercially available reagents. Our first analog, I, had the tricarballylic acid moieties and the amine.



I

The second and third analogs II and III also included the 1,3-diol moiety.



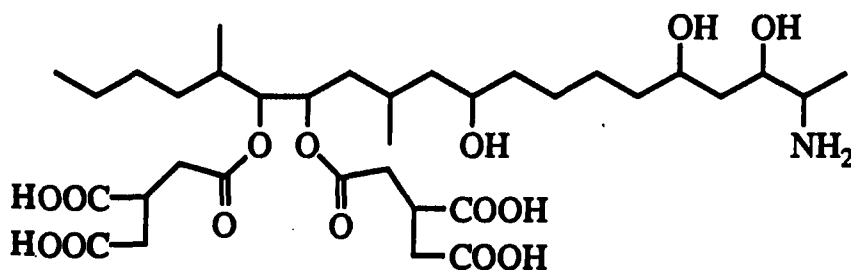
II, R = CH₃

III, R = H

Our strategy was based on the assumption that the alkyl groups on the backbone were not important for activity, but the tricarballic acid moiety and the amine were significant. The first part of this dissertation will describe our synthetic strategy and the toxicological data of these analogs.

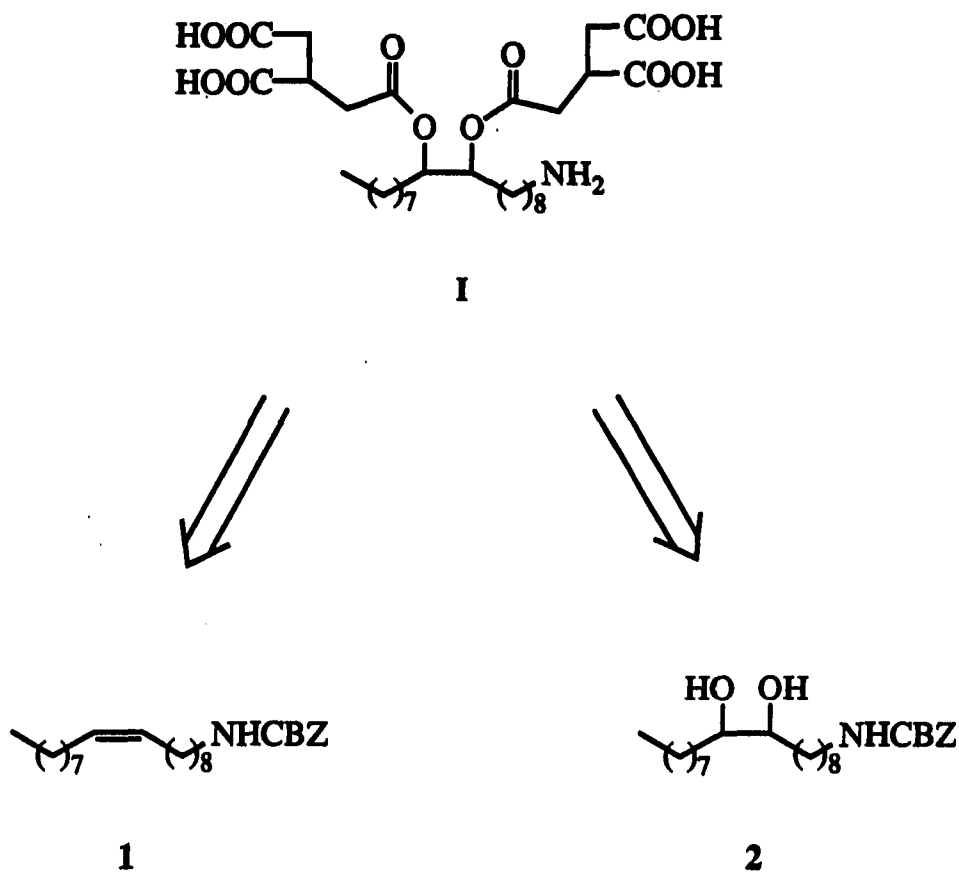
RESULTS AND DISCUSSION

We have been pursuing a direct approach to analogs of fumonisin B₁. The absolute stereochemistry of the molecule is unknown, therefore, the synthesis of diastereomers is our goal. We felt that specific functionality was required for biological activity with the minimum being the tricarballylic side chains and the amine. The twenty carbon chain with methyl groups at C-5 and C-9 may not be that significant for biological activity. A commercially available amine with a long hydrocarbon chain was selected as the starting material. In relation to the tricarballylic side chain, no synthetic method of introduction of this subunit had been published. The synthetic goal was to introduce the tricarballylic acid functionality in a concise manner into an amine with a similar number of carbons to the natural product, Fumonisin B₁.

Fumonisin B₁

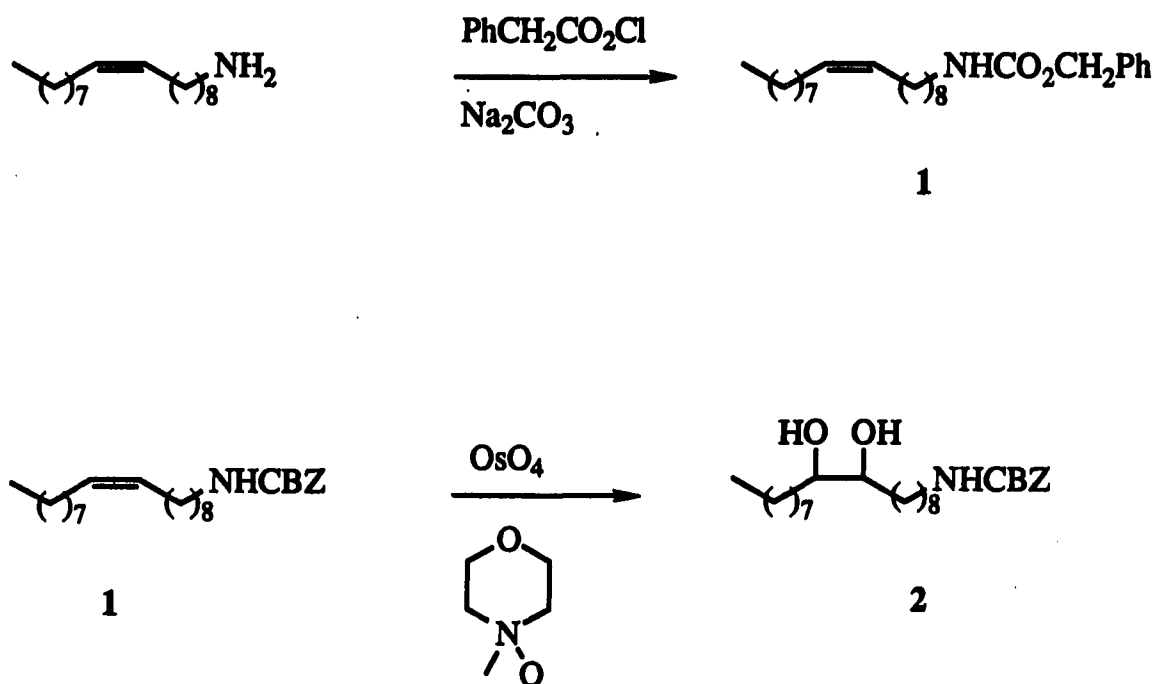
The first approach was to synthesize I from a compound with eighteen carbon backbone with a double bond at C-9 and C-10 and an

amine at C-1. Our strategy was to synthesize the compound from the protected amine with the synthesis proceeding through the olefin **1** or the diol **2**.

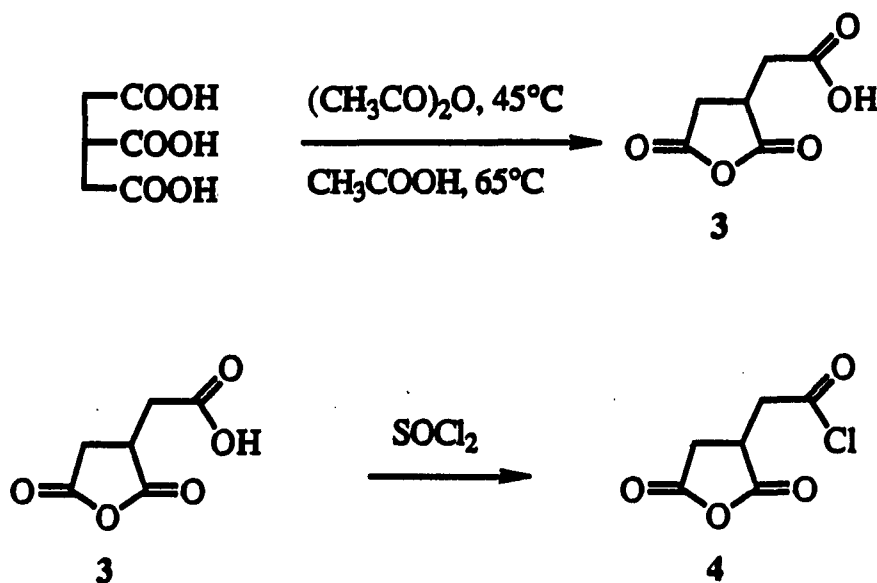


Our first approach began with commercially available oleylamine. Since the primary amine is a site of both nucleophilicity and a weakly acidic hydrogen, the amine was masked by acylation. The oleylamine was allowed to react with benzyl chloroformate and sodium carbonate²⁰ to yield the N-benzyloxycarbonyl oleylamine **1** in 75% yield.

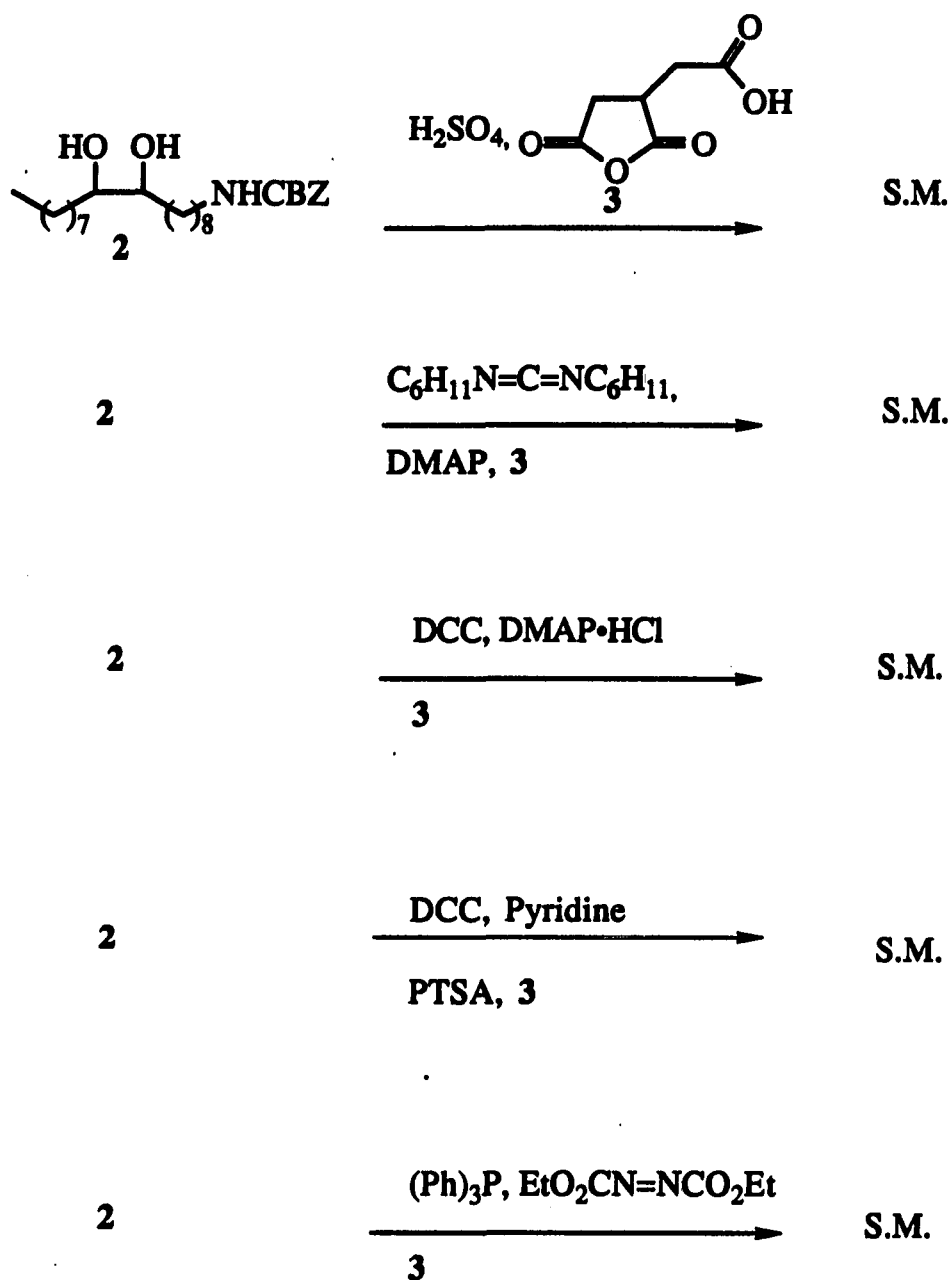
Introduction of the diol with catalytic osmium tetroxide and N-methylmorpholine N-oxide (NMO)²¹ gave **2** in a 40% yield.



Two acylating reagents were prepared by the method of Whitesides.²² The tricarballylic α,β -anhydride **3** was prepared by heating tricarballylic acid with acetic anhydride at 45 °C and glacial acetic acid at 65 °C to yield 75% of a white solid. The tricarballylic α,β -anhydride acid chloride **4** was formed in 40% yield by the reaction of **3** with excess thionyl chloride.

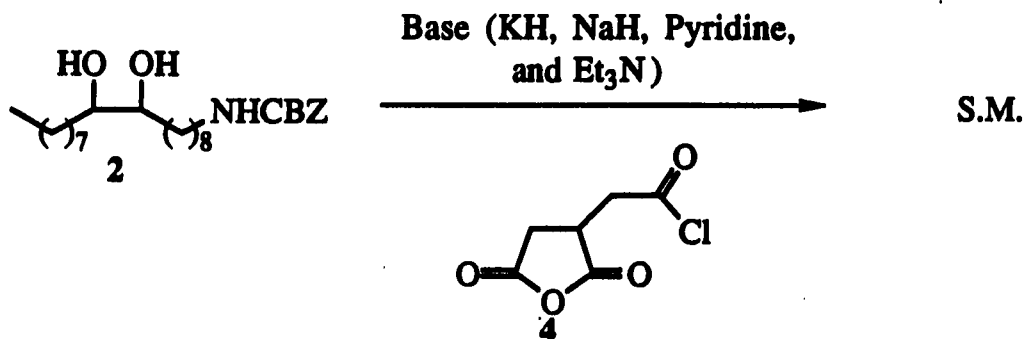


The first attempt involved Fischer esterification of compound 2 with 3 in the presence of sulfuric acid and was unsuccessful. Steglich esterification²³ which consisted of 1,3-dicyclohexylcarbodiimide (DCC), 4-dimethylaminopyridine (DMAP), diol 2 and anhydride 3 in methylene chloride at room temperature failed. The same conditions, but using p-toluenesulfonic acid (pTSA) or 4-dimethylaminopyridine hydrochloride (DMAP·HCl) as a catalyst in the reaction was ineffective.²⁴ Another well-known method of esterification, the Mitsunobu reaction,²⁵ involving triphenylphosphine, diethyl azodicarboxylate (DEAD), diol 2, and anhydride 3 was investigated with no success.

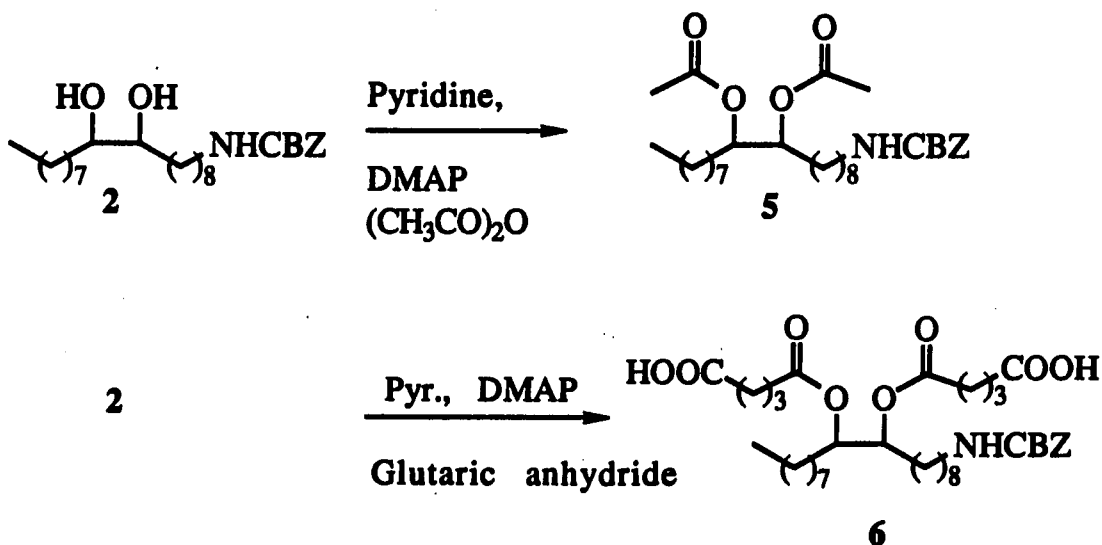


We next examined the use of tricarballic α,β -anhydride acid chloride 4 as the acylating reagent. Examination of diol 2 with acid

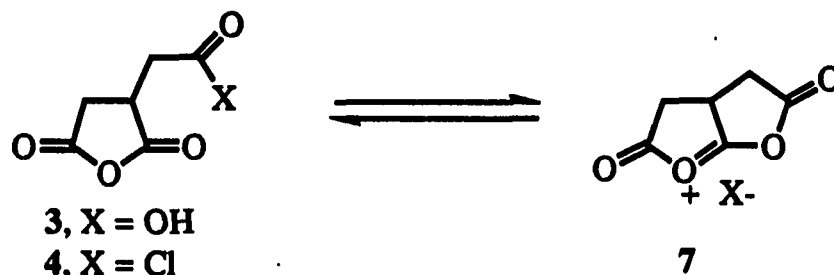
chloride 4 in the presence of bases such as potassium hydride, sodium hydride, pyridine, and triethylamine showed no positive results.



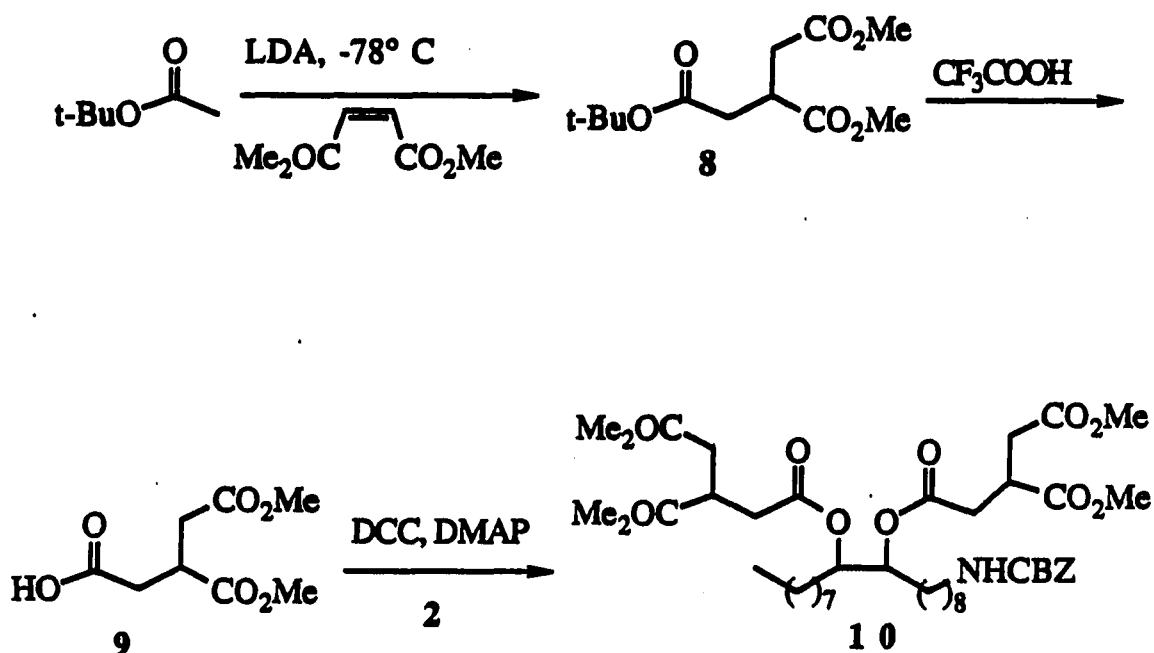
At this point in the research, we began to question if there was a potential problem with the acylating reagents 3 and 4 or the diol 2. The reaction of diol 2 with DMAP, pyridine and acetic anhydride afforded the bis-acetate 5 in a 60% yield. The reaction of 2 with glutaric anhydride yielded 60% of the diacid 6.



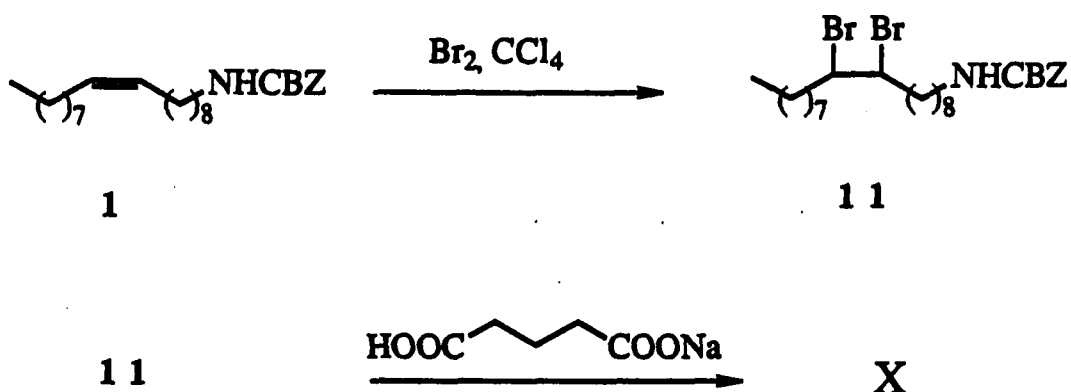
With the success of the esterification with acetic and glutaric anhydride, we felt that our original acylating reagents **3** and **4** could have been contributing to our problem. Bicyclic intermediate **7** may be responsible for our acylation problems.



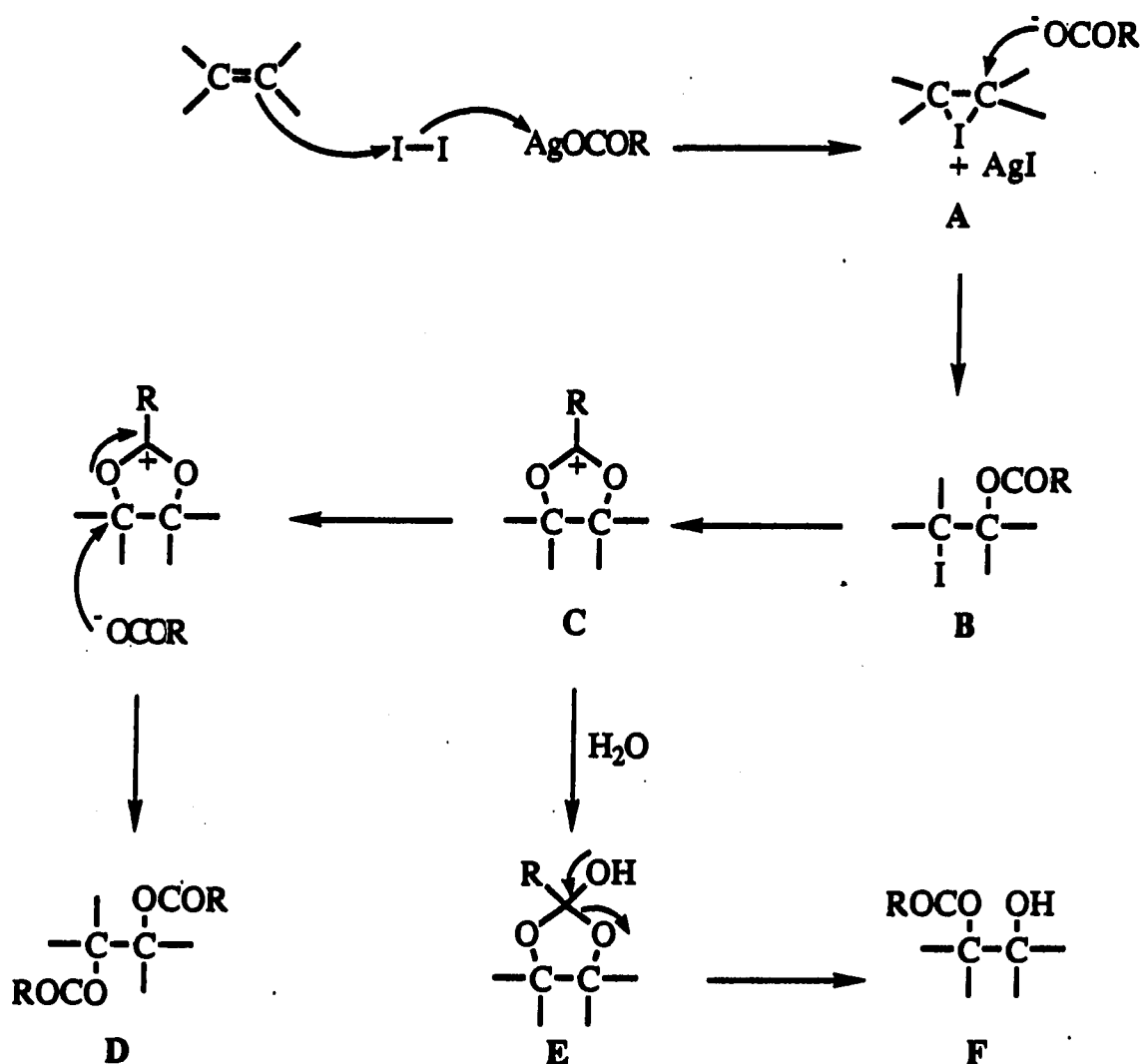
We began pursuing an acyclic acylation reagent to react with diol **2**. Generation of the anion of *tert*-butyl acetate with lithium diisopropylamide (LDA) at $-78\text{ }^{\circ}\text{C}$ followed by Michael addition to dimethyl maleate yielded 80% of 1,2-dimethyl-3-*tert*-butylpropanetricarboxylate **8**. Hydrolysis of **8** in the presence of trifluoroacetic acid gave 1,2-dimethyl-1,2,3-propanetricarboxylic acid **9** in 78% yield. Reaction of diol **2**, DCC, DMAP and **9** yielded 20% of tetramethyl ester derivative **10**. Unfortunately, due to low yields and the failure to synthesize the dibenzyl ester of 1,2,3-propanetricarboxylic acid, we began to pursue a more efficient strategy.



Our second strategy involved the use of N-benzyloxycarbonyl oleyl amine 1. Initially, formation of 54% of the dibromide²⁶ 11 by the reaction of 1 with bromine in carbon tetrachloride was pursued. Israel²⁷ reported reacting an α -bromo ketone with the monosodium salt of glutaric acid in good yields. The reaction of dibromide 11 with 2 equivalents of the monosodium salt of glutaric acid failed.

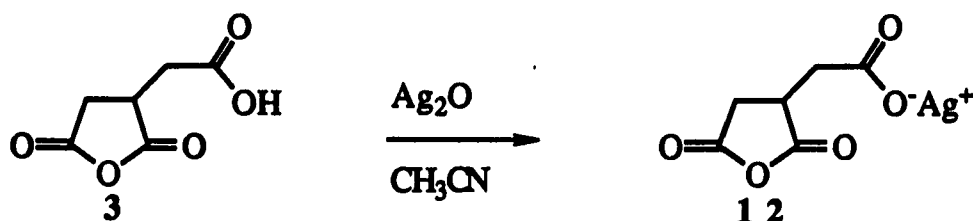


One method of esterification which had not been examined was the oxidation of alkenes with iodine and silver carboxylates. Under anhydrous Prevost conditions, this reaction directly yields the *trans*-diacyl derivative. In the presence of Woodward aqueous conditions, the monoester of the *cis*-glycol is obtained. The proposed mechanism²⁸ proceeds through the formation of an iodonium cation A which in the presence of silver carboxylate forms the *trans*-iodoacetate B.

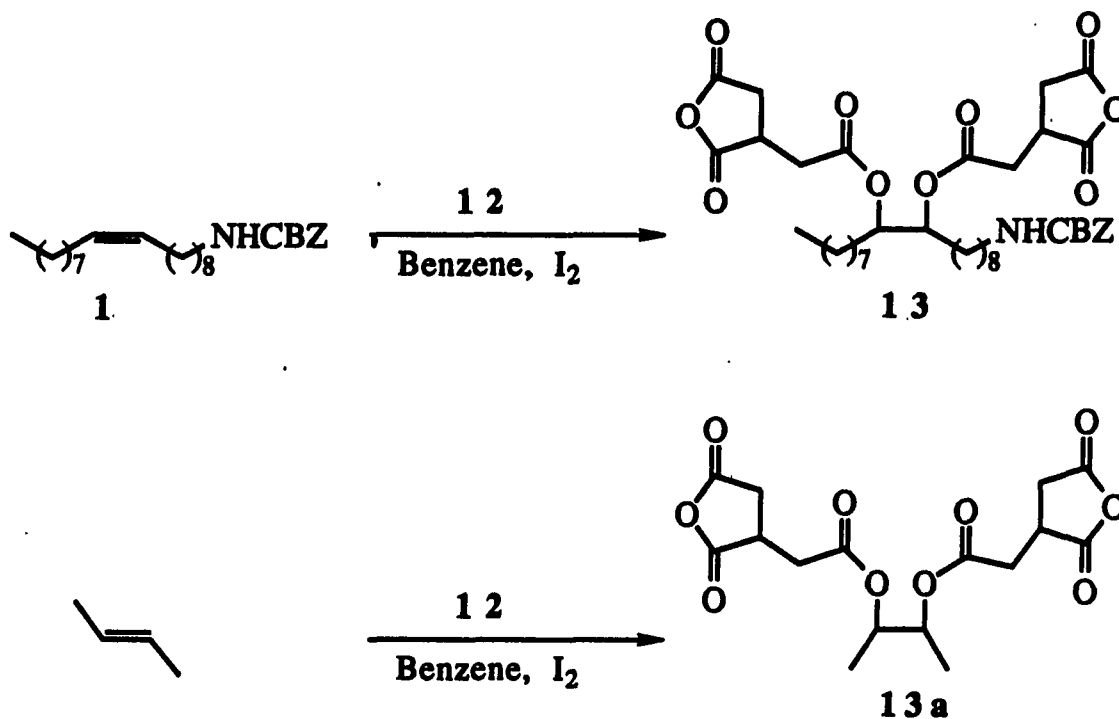


Through neighboring group participation, **B** forms a resonance stabilized cation **C** which undergoes attack by the carboxylate ion to form the *trans*-diacyl derivative **D** (Prevost conditions). In the presence of water, **C** forms the hydroxy acetal **E** which affords the *cis* hydroxy-acyl derivative **F**.

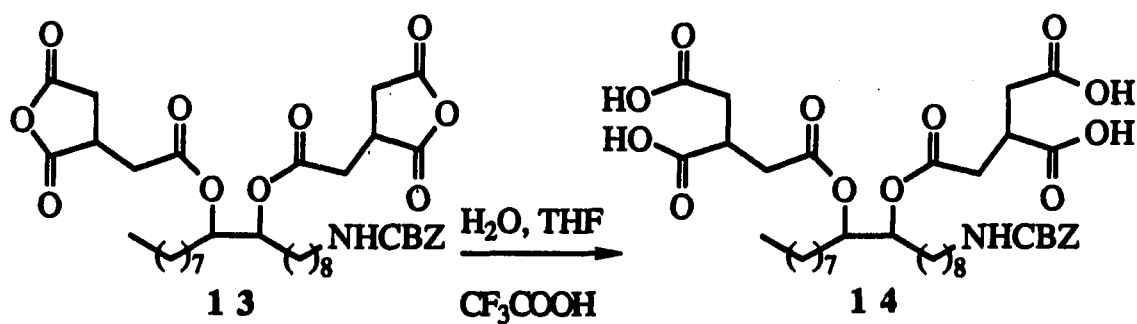
We quantitatively formed the silver salt²⁹ of tricarballic α,β -anhydride **12** by reacting the tricarballic α,β -anhydride **3** with silver(I) oxide in the dark until the black silver oxide had disappeared. This salt **12** was rigorously dried for 2 days in the presence of phosphorous pentoxide.



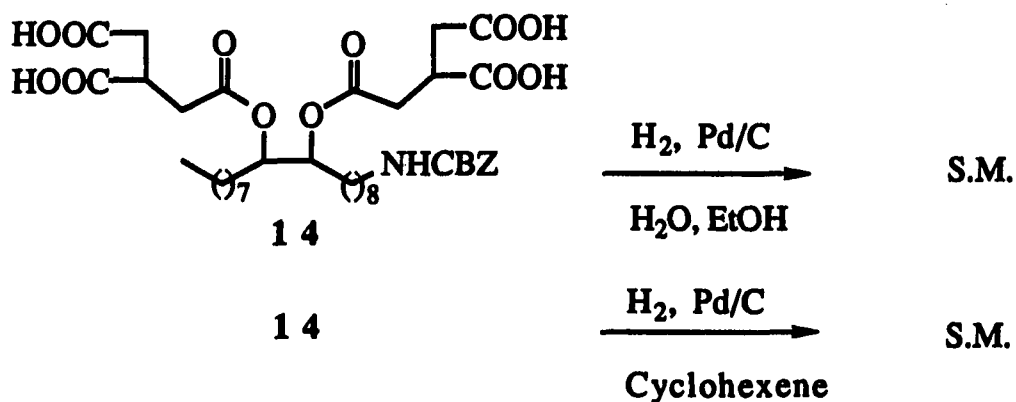
The *N*-benzyloxycarbonyl oleylamine **1** was reacted with two equivalents of **12** in the presence of one equivalent of iodine in boiling benzene for 2 days.³⁰ The Prevost conditions yielded the anhydride **13** in 90% yield. The ¹H NMR was reasonable, but the ¹³C NMR was complex due to the large number of methylenes. The reaction of *trans*-2-butene and **12** under the Prevost conditions yielded 80% of the anhydride **13a** which had a much simpler ¹³C spectrum. Both of these compounds were characterized and the data supported the success of the Prevost reaction.



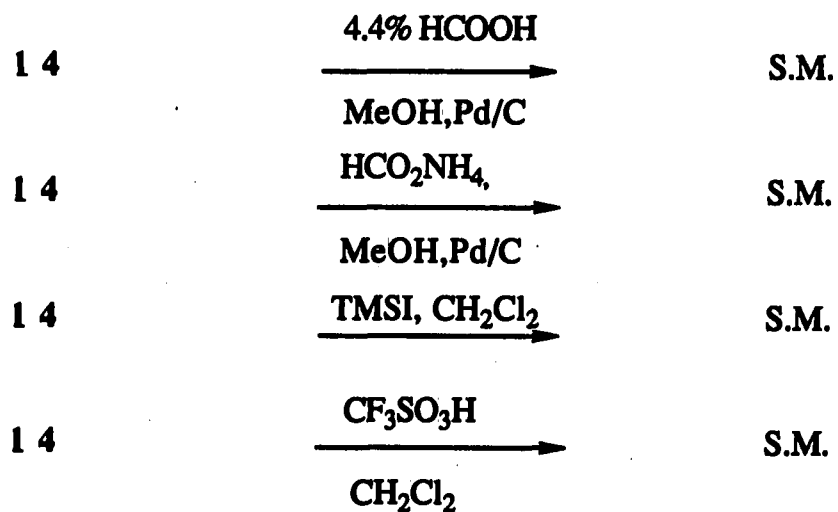
With the key carbon-oxygen bond formation finished, the last steps of the synthesis would seem to be trivial. Hydrolysis of anhydride **13** with a mixture of tetrahydrofuran, water, and trifluoroacetic acid (10:1:catalytic) at room temperature opened the anhydride to the tetraacid **14** in a quantitative yield.



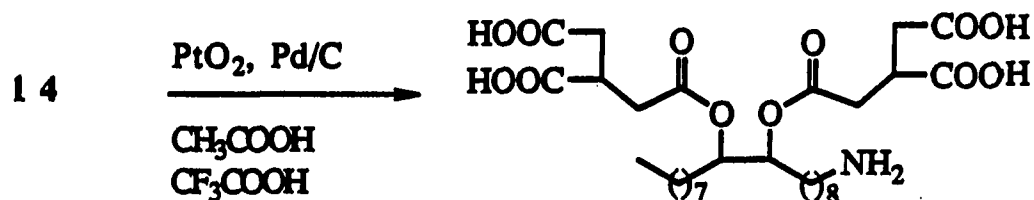
The removal of the N-benzyloxycarbonyl protecting group was not as simple as originally expected. Examination of various hydrogenation conditions was conducted. Hydrogenation with palladium on carbon (Pd/C) in ethanol/water³¹ failed. The use of a hydrogen transfer reagent such as cyclohexene³² in the reaction failed.



The use of formic acid, methanol, and Pd/C³³ failed along with ammonium formate³⁴ and Pd/C. Attempts with trimethylsilyl iodide (TMSI)³⁵ and trifluoromethanesulfonic acid³⁶ failed.

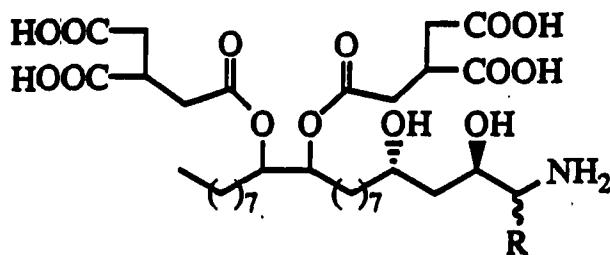


The reaction of 14 with hydrogen, platinum(IV) oxide, palladium on carbon, trifluoroacetic acid and acetic acid³⁷ afforded 90% of I.

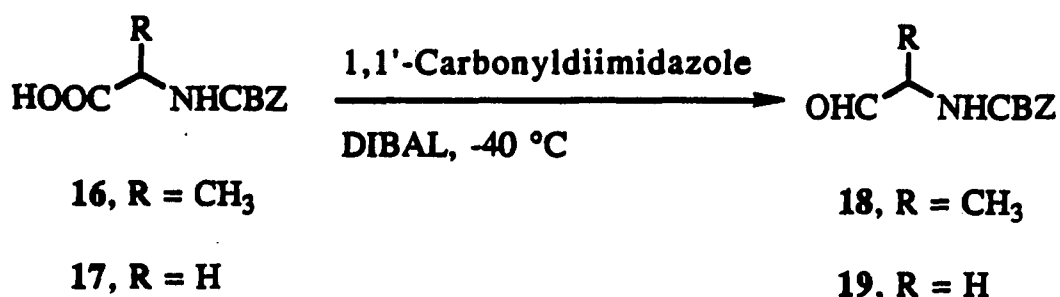


Purification of I was effected by high performance liquid chromatography (HPLC) on a C-18 column.

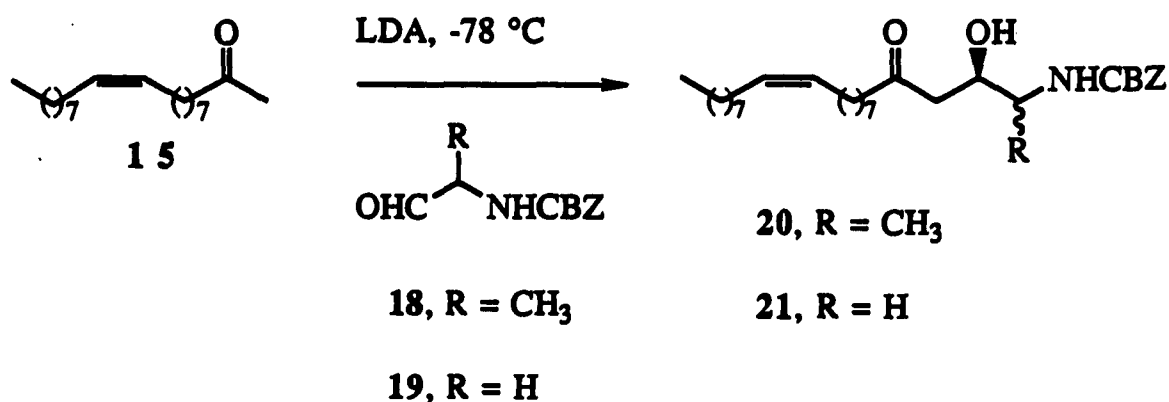
The synthesis of I, achieved by the introduction of the tetraacid functionality in one step, paved the way for analogs II and III. The introduction of the 1,3-diol moiety will give insight into the relationship of functionality and toxicological activity.



The synthesis of II and III began with commercially available oleic acid. Addition of two equivalents of methyllithium³⁸ to oleic acid with an acidic workup provided a 98% yield of ketone 15.

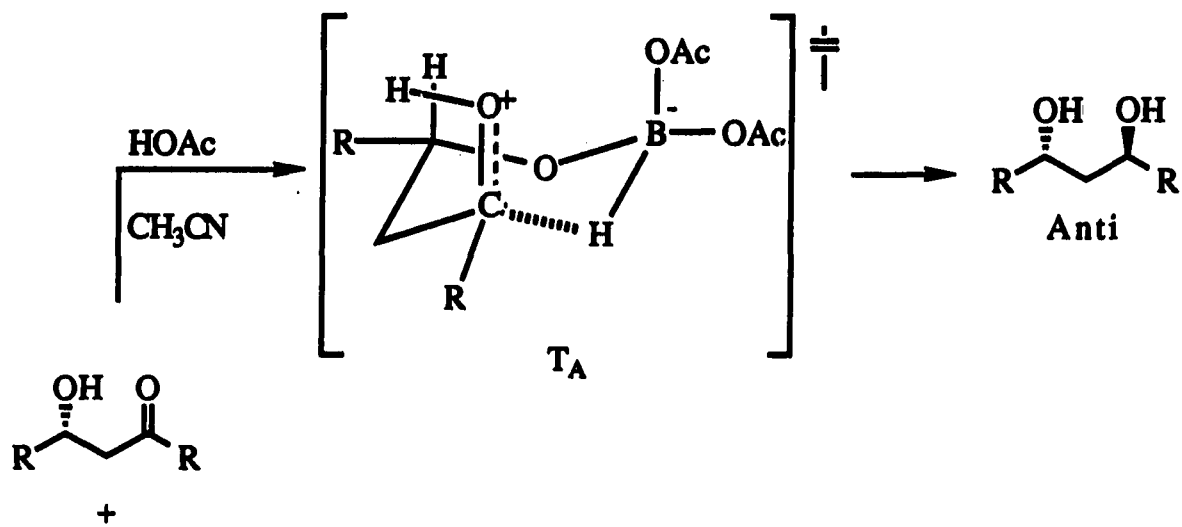


The aldol condensation of ketone 15 with 18 and 19 was done at $-78\text{ }^\circ\text{C}$ to afford a 54% yield of β -hydroxy ketone 20 and a 45% yield of β -hydroxyketone 21. Unfortunately, these aldol condensations have low diastereoselectivity.⁴⁰

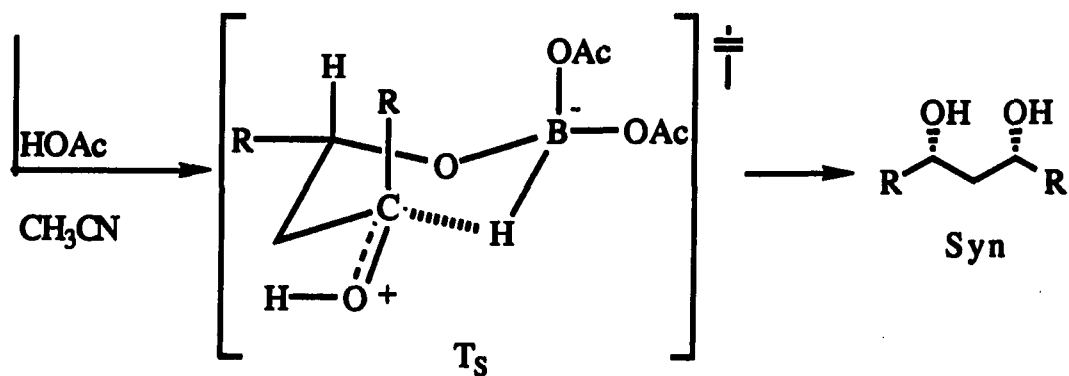


The directed reduction of the β -hydroxy ketones 20 and 21 was examined. The work of Evans⁴¹ with tetramethylammonium trisacetoxyborohydride as the reducing agent was used due to the fact that these reductions exhibit both good levels of diastereoselection and generality. Both anti and syn aldol adducts give high levels of anti reduction products. The diastereoselectivity is rationalized via two chair-like transition states T_A and T_S . The T_S transition state has 1,3-

diaxial interactions which destabilize it compared to the nonbonding interactions in transition state T_A , favoring the anti product.



Me₄NBH(OAc)₃

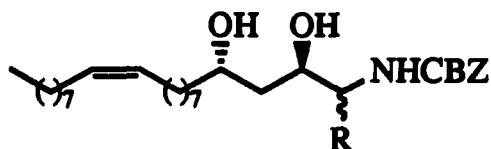


The reaction of 20 or 21 with tetramethylammonium trisacetoxyborohydride in acetonitrile/acetic acid at 0 °C for two days produced diols 22 or 23 in 70% and 63% yields, respectively.



20, R = CH₃

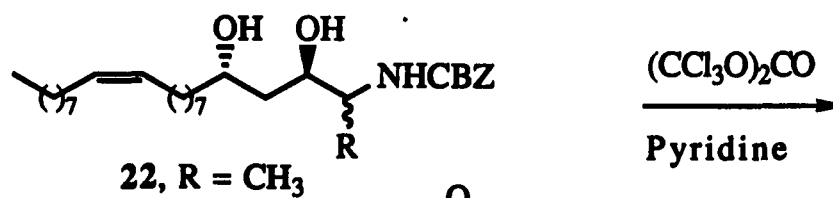
21, R = H



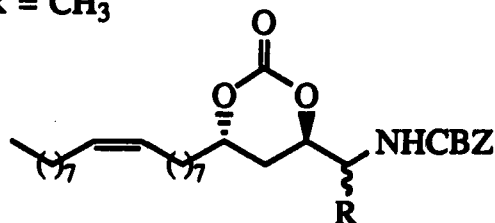
22, R = CH₃

23, R = H

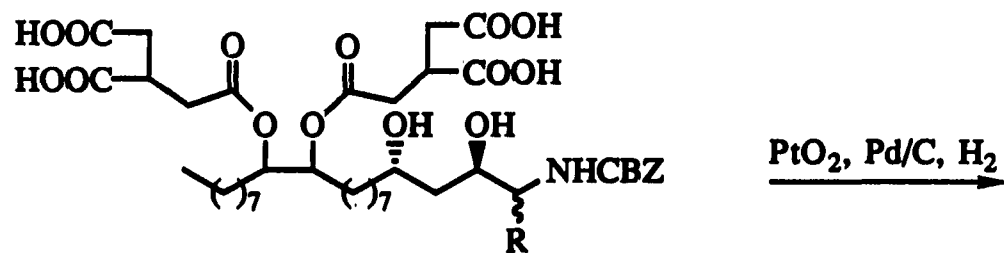
To confirm the stereochemistry of the reduction, the diol **22**, was treated with triphosgene and pyridine in CH₂Cl₂ to give a 20% yield of carbonate **24**.⁴² The carbonate was used in a NOESY experiment to confirm the anti stereochemistry.



22, R = CH₃

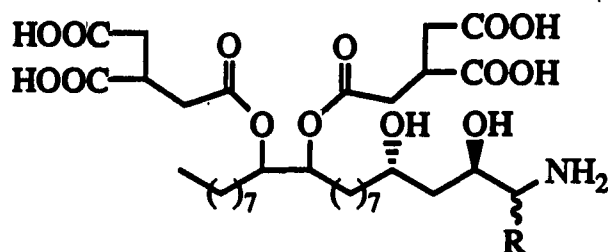


24, R = CH₃



27, R = CH₃

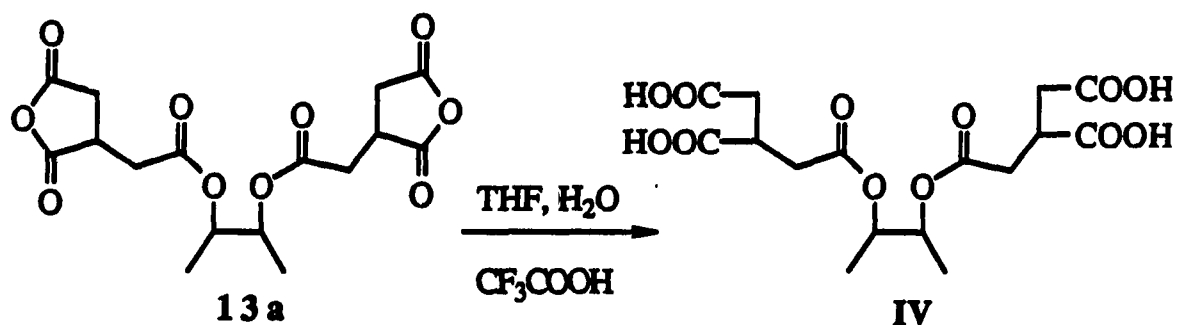
28, R = H



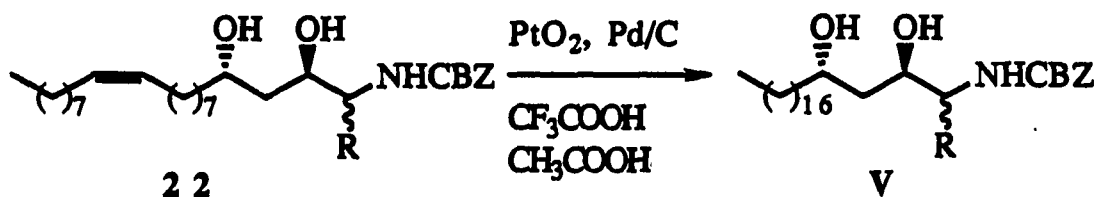
II, R = CH₃

III, R = H

To determine a little more about the required structural features of fumonisin for toxicological activity, simple analogs were prepared with specific functionality. The hydrolysis of 13a with the standard conditions gave the analog IV which has only the tetraacid moiety.

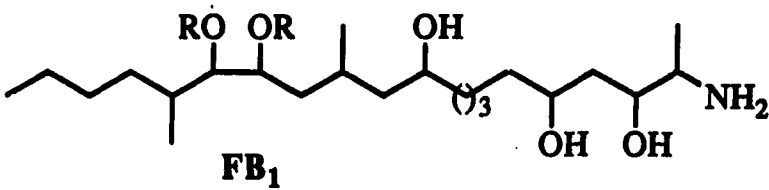
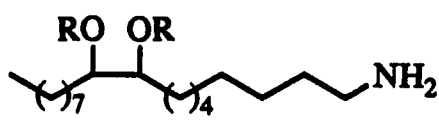
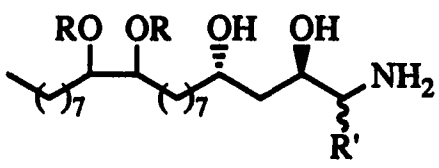
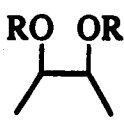
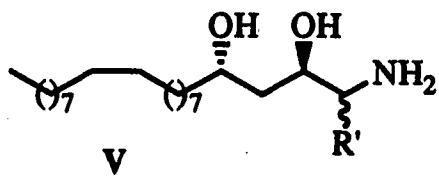


Hydrogenation of the diol **22** under the platinum oxide and palladium on carbon conditions yielded 60% of analog **V** which had only the 1,3-diol and the amine.



Toxicological testing of the analogs of Fumonisin B₁ was done by Dr. Don Reynolds of Veterinary Medicine at Iowa State University. Some of our analogs have shown similar toxicity to FB₁ (Table 1). The initial results of testing show that analog I and analog III have similar toxicity to FB₁. Analog II has greater toxicity by approximately 35 ppm. Analog IV was non-toxic and analog V has greater toxicity by approximately 20 ppm.

Table 1. Toxicity Studies

<u>Compound</u>	<u>LCD₅₀ (ppm)</u>
 <p style="text-align: center;">FB₁</p>	50 - 100
 <p style="text-align: center;">I</p>	50 - 100
 <p style="text-align: center;">II R' = CH₃</p>	10 - 15
<p style="text-align: center;">III R' = H</p>	50 - 100
 <p style="text-align: center;">IV</p>	500 - 1000
 <p style="text-align: center;">V</p>	25 - 30
R = -COCH₂CH(CO₂H)CH₂CO₂H	

CONCLUSION

The synthesis of the fumonisin B₁ analogs was done in a concise manner. These analogs have been tested for their toxicity with fumonisin B₁ as a standard. As organic chemists, we can further contribute to this research by synthesizing an analog similar to hydrolyzed fumonisin B₁. A serine analog would be interesting due to the structural similarity to sphingosine. The testing of these analogs would allow us to know even more about the structural relationship and toxicity.

EXPERIMENTAL

Unless otherwise noted, materials were obtained from commercial suppliers and were used without purification. Diethyl ether (Et₂O) and tetrahydrofuran (THF) were distilled from sodium benzophenone ketyl. Benzene was distilled from lithium aluminum hydride. Dichloromethane and acetonitrile were distilled from calcium hydride. All reactions were conducted under nitrogen atmosphere and all extracts were dried over anhydrous sodium sulfate. Apparatus for experiments requiring anhydrous conditions was flame-dried under a stream of nitrogen or dried in a 150 °C oven for 12 h and cooled under a stream of nitrogen. Flash chromatography was performed on EM Science Kieselgel 60 (mesh 230-400). Thin layer chromatography was performed using EM Science Kieselgel F₂₅₄ prepared plates with a thickness of 0.25 mm. The solvent systems were suitable mixtures of hexanes (H) and ethyl acetate (EA) unless otherwise noted. The abbreviation sg represents silica gel. Infrared spectra were obtained on a Perkin-Elmer 1320 spectrophotometer and are reported in cm⁻¹. Proton nuclear magnetic resonance spectra (300 MHz) were obtained using a Nicolet Magnetics Corporation NMC-1280 spectrometer. All chemical shifts are reported in δ relative to tetramethylsilane as an internal standard. Splitting patterns are designated as s (singlet), d (doublet), t (triplet), q (quartet), dd (doublet of doublets), ABq (AB quartet), and m (multiplet); a br suffix indicates a broadened pattern. Carbon-13 NMR spectra (75.46 MHz) were obtained on a Nicolet NMC-

spectrometer and are reported in δ relative to CDCl_3 (77.00 ppm). High resolution mass spectra were obtained on a Kratos model MS-50 spectrometer. Low resolution mass spectra were obtained on a Finnegan 4023 mass spectrometer. Elemental analyses were performed by Galbraith Laboratories. The purity of all title compounds was judged to be $\geq 95\%$ by ^1H NMR spectral determination.

N-benzyloxycarbonyl oleylamine (1). To a solution of oleylamine (2.0 g, 7.47 mmol) in 29.9 mL of Et_2O and 37 mL of H_2O was added Na_2CO_3 (1.03 g, 9.71 mmol). After stirring for 30 minutes, benzylchloroformate (1.33 g, 7.47 mmol) was added and the reaction was stirred for 12 hours. The ether layer was separated from the aqueous layer, washed with saturated NaCl , dried with Na_2SO_4 , and concentrated in vacuo. The crude product was purified by flash chromatography on sg (3:1 H:EA) to provide **1** (2.26 g, 5.62 mmol) in 75% yield: $R_F = .46$ (3:1 H:EA); ^1H NMR (300 MHz, CDCl_3) δ .87 (t, $J = 6$ Hz, 3H), 1.27-1.25 (br, 24H), 2.00 (q, $J = 6.0$ Hz, 4H), 3.17 (q, $J = 6.6$ Hz, 2H), 5.08 (s, 2H), 5.34 (dt, $J = 5.4$ Hz, 2H), 7.30-7.39 (m, 5H); IR (CH_2Cl_2) 3440 2920, 2820, 1780, 1510, 1450, 1220 cm^{-1} .

N-benzyloxycarbonyl-9,10-dihydroxyoctadecylamine (2). To a solution of OsO_4 (0.11 g, .43 mmol) and NMO (2.61 g, 26 mmol) in 111.5 mL of acetone and 27.8 mL of H_2O was added carbamate **1** (9.0 g, 22.3 mmol) in 39.2 mL of acetone. The reaction was followed until TLC analysis indicated no carbamate **1** remained. Florosil (16.7 g) and sodium dithionite (4.54 g, .026 mmol) were added with stirring for 60 minutes. Reaction was filtered, precipitate was washed with acetone,

and the filtrate was concentrated in vacuo. The crude product was purified by flash chromatography on sg (2:1 H:EA) to yield 40% of **2** (3.88 g, 8.9 mmol): $R_F = .20$ (2:1 H:EA); $^1\text{H NMR}$ (300 MHz, CDCl_3) δ .88 (t, $J = 6.6$ Hz, 3H), 1.27 (br, 24H), 1.43-1.51 (m, 4H), 3.18 (q, $J = 6.6$ Hz, 2H), 3.43-3.37 (m, 1H), 3.63-3.55(m, 1H), 5.09 (s, 2H) 7.34-7.37 (m, 5H); $^{13}\text{C NMR}$ (75.46 MHz, CDCl_3) δ 22.63, 25.94, 26.60, 29.52, 31.21, 31.82, 33.63, 41.06, 66.57, 74.47, 74.66, 128.03, 128.45, 136.56, 170.8.

General Procedure for Esterification of Diol 2. To a solution of diol **2** (1 equiv) in dry CH_2Cl_2 (0.1M) at 0 °C was added pyridine (2.2 equiv) and DMAP (0.5 equiv). The reaction was warmed to room temperature and anhydride (2.2 equiv) in dry THF (2.5 M) was added to the solution. The reaction was monitored until TLC analysis indicated no diol **2** remained. The reaction was poured into water, the aqueous layer was washed with CH_2Cl_2 , dried, and concentrated in vacuo. The residue was purified by sg chromatography.

1,1'[1-(N-benzyloxycarbonyl-8-aminooctyl)-2-(octyl)-1,2-ethanediyl]ester Acetic acid (5): $R_F = .32$ (7:1 H:EA); (300 MHz, CDCl_3) δ .87 (t, $J = 6.1$ Hz, 3H), 1.25 (br, 24H), 1.42-1.51 (m, 4H), 2.04 (s, 3H), 2.07 (s, 3H), 3.17 (q, $J = 6.6$ Hz, 2H), 4.93-5.11 (m, 2H), 5.09 (s, 2H), 7.32-7.34 (m, 5H); IR (CDCl_3) 3470, 2940, 2880, 1750, 1720, 1530, 1460 cm^{-1} .

1,1'[1-(N-benzyloxycarbonyl-8-aminooctyl)-2-(octyl)-1,2-ethanediyl]ester Glutaric acid (6): $R_F = .20$ (10% MeOH); $^1\text{H NMR}$ (300 MHz, CDCl_3), δ .80 (t, $J = 5.6$ Hz, 3H), 1.18 (br, 24H), 1.36-1.44 (m, 4H), 1.79-1.98 (m, 4H), 2.24-2.41 (m, 8H), 3.05-3.15 (m, 2H), 4.87-

4.97 (m, 2H), 5.02 (s, 2H), 7.25-7.30 (m, 5H); ^{13}C NMR (75.46 MHz, CDCl_3), δ 13.91, 19.91, 22.51, 25.41, 26.51, 26.58, 29.26, 33.23, 40.97, 66.45, 74.11, 127.92, 128.15, 128.34, 128.76, 136.51, 156.46, 172.49, 177.76; IR (CH_2Cl_2) 3450, 2920, 2860, 1750, 1710, 1510, 1450 cm^{-1} .

3-*tert*-Butyl-1,2-dimethyl ester 1,2,3-propanetricarboxylic acid (8): To a solution of LDA (2.75 mmol, prepared from *n*-BuLi (2.75 mmol) and diisopropylamine (3.25 mmol) in 8 mL of THF at $-78\text{ }^\circ\text{C}$) was added a solution of *t*-butyl acetate (0.29 g, 2.5 mmol) in 2 mL of dry THF. The solution was stirred at $-78\text{ }^\circ\text{C}$ for 30 minutes. Dimethyl maleate (0.39 g, 2.75 mmol) in 1.37 mL of THF was added quickly and the reaction was stirred at $-78\text{ }^\circ\text{C}$ for 30 minutes. Acetic acid/ CH_2Cl_2 (10%) was added to the $-78\text{ }^\circ\text{C}$ solution to quench the reaction. The reaction was warmed to room temperature, diluted with water, extracted with CH_2Cl_2 , dried and concentrated in vacuo to afford 80% of **8** (0.48 g, 1.84 mmol) after sg chromatography (3:1 H:EA): $R_F = .10$ (4:1 H:EA); ^1H NMR (300 MHz, CDCl_3) δ 1.43 (s, 9H), 2.37-2.81 (m, 4H) 3.17-3.27 (m, 1H), 3.68 (s, 3H), 3.70 (s, 3H).

2,3-Dimethylester 1,2,3-propanetricarboxylic acid (9). To a solution of triester **8** (0.276 g, .82 mmol) in 1.0 mL of CH_2Cl_2 was added trifluoroacetic acid (0.473 g, 4.1 mmol). After TLC analysis indicated no triester **8** remained, the reaction was concentrated. The residue was dissolved in Et_2O , the ether layer was extracted with cold NaHCO_3 , the aqueous layer was acidified with 1N HCl, and the aqueous layer was extracted with CH_2Cl_2 . The CH_2Cl_2 layer was concentrated to yield 78% of **9** (0.130 g, .63 mmol): ^1H NMR (300 MHz, CDCl_3) δ 2.68 (dd,

$J = 12.0, 6.3$ Hz, 2H), 2.77 (dd, $J = 12.0, 6.6$ Hz, 2H), 3.21-3.29 (m, 1H), 3.69 (s, 3H), 3.72 (s, 3H).

1,1'[1-(N-benzyloxycarbonyl-8-aminooctyl)-2-(octyl)-1,2-ethanediyl]ester-2,2',3,3' tetramethylester 1,2,3-propanetricarboxylic acid (10). To a solution of diol **2** (0.105 g, .24 mmol), acid **9** (0.090 g, 0.44 mmol), and DMAP (0.004 g, 0.038 mmol) in 1.1 mL of CH_2Cl_2 at 0 °C was added DCC (0.099 g, 0.48 mmol). The reaction was warmed to room temperature. After TLC analysis indicated no diol **2** remained, the reaction was filtered through Celite. The filtrate was washed with 0.5 N HCl, washed with NaHCO_3 , dried, and concentrated in vacuo. The crude product was purified by sg chromatography to yield 20% of **10** (0.038 g, 0.04 mmol): ^1H NMR (300 MHz, CDCl_3) δ .87 (t, $J = 6.1$ Hz, 3H), 1.25 (br, 24H), 1.41-1.54 (m, 4H) 2.55-2.68 (m, 4H), 2.73-2.84 (m, 4H), 3.13-3.31 (m, 4H), 3.68 (s, 6H), 3.69 (s, 6H), 4.92-5.03 (m, 2H), 5.09 (s, 2H), 7.31-7.37 (m, 5H); ^{13}C NMR (75.46 MHz, CDCl_3) δ 173.34, 171.64, 170.97, 170.87, 170.73, 170.50, 156.25, 136.55, 128.72, 128.34, 127.92, 74.52, 74.49, 66.40, 52.59, 52.30; IR (CDCl_3) 3440, 3050, 2920, 1740 (broad), 1510, 1440, 1260, 1160 cm^{-1} .

N-benzyloxycarbonyl-9,10-dibromooctadecyl-amine (11). To a solution of carbamate **1** (1.0 g, 2.48 mmol) in 12.7 mL of CCl_4 at 10 °C was added dropwise a solution of bromine (0.376 g, 2.35 mmol) in 5 mL of CCl_4 . After TLC analysis indicated no carbamate **1** remained, the reaction was concentrated in vacuo. The residue was dissolved in hexane, washed with NaHCO_3 , washed with H_2O , and washed with

sodium thiosulfate. The organic layer was dried, concentrated in vacuo and purified by sg chromatography (10:1 H:EA) to yield 54% of **11** (0.76 g, 1.35 mol): RF = 0.29 (10:1 H:EA); ^1H NMR (300 MHz, CDCl_3) δ .88 (t, J = 6.3 Hz, 3H), 1.25 (br, 24H) 1.78-1.94 (m, 2H), 1.94-2.10 (m, 2H), 3.12-3.23 (m, 2H), 4.16-4.24 (m, 2H), 5.09 (s, 2H), 7.33-7.36 (m, 5H).

Silver salt of tricarballic α,β -anhydride (12). To a solution of acid **3** (1.0 g, 6.36 mmol) in 15.1 mL of dry MeCN was added Ag_2O (0.737 g, 3.18 mmol). The reaction was stirred in the dark for 2 days until all the Ag_2O disappeared. The reaction was filtered, the beige solid was washed with MeCN, and dried in the presence of phosphorous pentoxide.

General Procedure for the Prevost Reaction: To a solution of olefin (1 equiv) in dry benzene (0.33 M) was added iodine (1 equiv) and silver salt **12** (2 equiv). The reaction was heated in boiling benzene for 2-3 days. The reaction was filtered, the silver salt precipitate was washed with benzene and concentrated.

1,1'[1-(N-benzyloxycarbonyl-8-aminooctyl)-2-(octyl)-1,2-ethanediyl]ester 2,3-tricarballic anhydride (13): ^1H NMR (300 MHz, CDCl_3) δ .87 (t, J = 6.3 Hz, 3H), 1.24 (br, 24H), 1.43-1.51 (m, 4H), 2.76-3.22 (m, 10H), 3.24-3.39 (m, 2H), 4.97-5.05 (m, 2H), 5.08 (s, 2H), 7.32-7.36 (m, 5H); IR (CHCl_3) 3450, 2910, 1860, 1760, 1710, 1510 cm^{-1} .

1,1'[1-(methyl)-2-(methyl)-1,2-ethanediyl]ester 2,3-tricarballic anhydride (13a): ^1H NMR (300 MHz, CDCl_3) δ 1.19 (d, J = 1.8 Hz, 3H), 1.21 (d, J = 1.8 Hz, 3H), 2.76-3.20 (m, 8H), 3.24-3.37 (m,

2H), 4.83-4.89 (m, 2H); ^{13}C NMR (75.46 Hz, CDCl_3), δ 165.97, 165.41, 163.51, 69.34, 36.65, 33.65, 31.05, 19.03; IR (Neat) 2980, 1850, 1780, 1730 cm^{-1} ; MS (CI- NH_3) m/e 176, 194, 248, 290, 388 ($\text{M}^+ \text{NH}_4$).

1,1][1-(N-benzyloxycarbonyl-11-amino-8,10-dihydroxydodecyl)-2-(octyl)-1,2-ethanediyl]ester 2,3-tricarballic anhydride (25): ^1H NMR (300 MHz, CDCl_3) δ .87 (t, $J = 6.2$ Hz, 3H), 1.23 (br, 33H), 2.61-2.91 (m, 8H), 3.22-3.40 (m, 3H), 3.72-3.91 (m, 2H), 4.80-5.08 (m, 2H), 5.11 (s, 2H), 7.32-7.35 (m, 5H); IR (Neat) 3355, 2926, 2854, 1852, 1783, 1724, 1525, 1411 cm^{-1} .

1,1'[1-(N-benzyloxycarbonyl-11-amino-8,10-dihydroxyundecyl)-2-(octyl)-1,2-ethanediyl]ester 2,3-tricarballic anhydride (26): ^1H NMR (300 MHz, CDCl_3) δ .87 (t, $J = 5.2$ Hz, 3H), 1.25 (br, 26H), 1.49-1.54 (m, 4H), 2.61-2.84 (m, 8H), 2.91-3.30 (m, 6H), 5.02-5.16 (m, 4H), 7.31-7.35 (m, 5H); IR (neat) 3363, 2926, 2854, 1852, 1781, 1716, 1259, 1190 cm^{-1} ; MS (CI- NH_3) m/e 193, 284, 649, 807 (M^+NH_4).

General Procedure for the Hydrolysis of the dianhydride. To a solution of anhydride (1 equiv) in THF (0.5 M) and water (5 M) was added 1 drop of trifluoroacetic acid. After stirring at room temperature for 48 hours, the reaction was quenched with trifluoroacetic anhydride to remove the excess water. The reaction was concentrated in vacuo.

1,1'[1-(N-benzyloxycarbonyl-8-amino-octyl)-2-(octyl)-1,2-ethanediyl]ester 1,2,3-propanetricarboxylic acid (14): ^1H NMR (300 MHz, CDCl_3) δ .86 (t, $J = 5.9$ Hz, 3H), 1.28 (br, 24H), 1.45-1.51 (m, 4H), 2.72-2.87 (m, 8H), 3.14-3.21 (m, 2H), 3.24-3.35 (m, 2H), 4.97-

5.04 (m, 2H), 5.13 (s, 2H), 7.32-7.34 (m, 5H); IR (CDCl₃) 3500-3000 (broad), 2920, 1780, 1720, 1220, 1160 cm⁻¹.

1,1'[1-(N-benzyloxycarbonyl-11-amino-8,10-dihydroxydodecyl)-2-(octyl)-1,2-ethanediyl]ester 1,2,3-propanetricarboxylic acid (27): ¹H NMR (300 MHz, CDCl₃) δ .872 (t, J = 5.6 Hz, 3H), 1.25 (br, 29H), 1.44-1.52 (m, 4H), 2.71-2.86 (m, 8H), 3.144-3.61 (m, 5H), 4.96-5.14 (br, 4H), 7.32-7.34 (br, 5H); IR (CDCl₃) 3500-3000 (broad), 2930, 1780, 1720, 1260, 1170 cm⁻¹.

1,1'[1-(N-benzyloxycarbonyl-11-amino-8,10-dihydroxyundecyl)-2-(octyl)-1,2-ethanediyl]ester 1,2,3-propanetricarboxylic acid (28): ¹H NMR (300 MHz, CDCl₃) δ .86 (t, J = 6.3 Hz, 3H), 1.24 (br, 26H), 1.46-1.61 (m, 4H), 2.33-2.84 (m, 8H), 3.10-3.36 (m, 6H), 4.82-5.13 (m, 4H), 7.27-7.35 (m, 5H); IR (Neat) 3500-3000 (broad), 2927, 1780, 1733, 1263, 1172.

1,1'[1-(methyl)-2-(methyl-1,2-ethanediyl]ester 1,2,3-propanedtricarboxylic acid (IV): ¹H NMR (300 MHz, CDCl₃) δ 1.12-1.30 (m, 6H), 2.17-2.81 (m, 8H), 3.31-3.41 (m, 2H), 5.01-5.12 (m, 2H); IR (Neat) 1733 cm⁻¹; MS (CI-NH₃); 406 (M), 424 (M+NH₄).

General Procedure for the Hydrogenation Reaction. To a suspension of PtO₂ (10 mol%), 10% Pd/C (10 mol%) in CF₃COOH (0.05 M) under hydrogen atmosphere was added the N-benzyloxycarbonyl compound (1 equiv) in CH₃COOH (0.045 M). After completion of addition, the reaction was stirred for 48 hours. The reaction was filtered through a pad of Celite which remained wet with CF₃COOH, and the filtrate was concentrated in vacuo.

1,1'[1-(8-amino-octyl)-2-(octyl)-1,2-ethanediyl]ester 1,2,3-propanetricarboxylic acid (I): ^1H NMR (300 MHz, CD_6O) δ .82-.91 (m, 3H), 1.27 (br, 24H), 1.50-1.59 (m, 4H), 2.54-2.87 (m, 8H), 3.18-3.24 (m, 2H), 3.80-3.85 (m, 2H), 4.96-5.08 (m, 2H); MS (H_2O -Glycerol) (+) (FAB) m/e 113, 140, 270, 460, 618 (M+1); MS (Positive Electrospray) m/e 618.7 (M+1).

1,1'[1-(11-amino-8,10-dihydroxydodecyl)-2-(octyl)-1,2-ethanediyl]ester 1,2,3-propanetricarboxylic acid (II): ^1H NMR (300 MHz, CD_6O) δ .81-.88 (m, 3H), 1.26 (br, 29H), 1.51-1.62 (m, 4H), 2.52-2.83 (m, 8H), 3.10-3.26 (m, 2H), 3.43-3.64 (m, 1H), 3.75-3.87 (m, 1H), 3.95-4.10 (m, 1H), 4.85-5.20 (m, 2H); MS (H_2O -Glycerol) (-) (FAB) m/e 113, 205, 546, 704 (M-1); MS (Positive Electrospray) m/e 706.7 (M+1).

1,1'[1-(11-amino-8,10-dihydroxyundecyl)-2-(octyl)-1,2-ethanediyl]ester 1,2,3-propanetricarboxylic acid (III): ^1H NMR (300 MHz, CD_6O) δ .81-.90 (m, 3H), 1.26 (br, 26H), 1.50-1.63 (m, 4H), 2.55-2.79 (m, 8H), 3.10-3.27 (m, 2H), 3.46-3.54 (m, 2H), 3.65-3.88 (m, 1H), 3.96-4.11 (m, 1H), 4.89-5.16 (m, 2H); MS (H_2O -Glycerol) (+) (FAB) m/e 109, 140, 159, 306, 324, 456, 498, 692 (M+1).

2-Amino-3,5-dihydroxydocosane (V): ^1H NMR (300 MHz, CDCl_3) δ .876(t, $J = 6.1\text{Hz}$, 3H), 1.26 (br, 40H), 1.50-1.63 (m, 4H), 3.41-3.64 (m, 1H), 3.73-3.86 (m, 1H), 3.94-4.04 (m, 1H).

Nona-dec-10-en-2-one (15): To a solution of oleic acid (2.0g, 7.08 mmol) in 47.2 mL of dry Et_2O at 0 °C was added 10.3 mL of methyllithium (1.37 M in hexane). The reaction was stirred for 1.5

hours at 0 °C and for 4 hours at room temperature. The reaction was slowly added to a suspension of ice and 50 mL of 10% HCl. The aqueous layer was extracted with Et₂O. The combined Et₂O layers were washed with NaHCO₃, dried, and concentrated in vacuo to yield 98% of **15** (1.94 g, 6.93 mmol): $R_F = .42$ (10:1 H:EA); ¹H NMR (300 MHz, CDCl₃) δ .787 (t, J = 6.1 Hz, 3H), 1.178 (br, 16H), 1.928 (m, 4H), 2.014 (s, 3H), 2.309 (t, J = 7.2 Hz, 2H), 5.235 (m, 2H); ¹³C NMR (75.46 MHz, CDCl₃) δ 13.84, 28.90, 28.95, 29.12, 29.34, 29.49, 29.57, 31.72, 43.39, 129.40, 129.60, 208.05; IR (Neat) 3002, 2923, 2852, 1718, 1463, 1358 cm⁻¹.

General Procedure for N-protection of Amino Acids. To solution of amino acid (1 equiv) in Et₂O (0.25 M) and water (0.20 M) was added Na₂CO₃ (2.5 equiv). After 30 minutes, benzylchloroformate (1 equiv) was added and the reaction was stirred for 12 hours. The organic and aqueous layer were separated and the aqueous layer was acidified to pH=3 with 1N HCl. The aqueous layer was extracted with ether, the combined organics were dried, and concentrated in vacuo.

N-benzyloxycarbonylalanine (16): ¹H NMR (300 MHz, CD₆O) δ 1.40 (d, J = 7.5 Hz, 3H), 4.18-4.30 (m, 1H), 5.06 (s, 2H), 7.32-7.40 (m, 5H); IR (CH₂Cl₂) 3440, 1720, 1510 cm⁻¹.

N-benzyloxycarbonylglycine (17): ¹H NMR (300 MHz, CD₆O) δ 3.89 (d, J = 6.3 Hz, 2H), 5.08 (s, 2H), 7.26-7.38 (m, 5H).

General Procedure for the Reduction of N-protected Amino Acids. To a solution of N-benzyloxycarbonyl amino acid (1 equiv) in THF (0.20 M) at 0 °C was added 1,1'-carbonyldiimidazole. After 30 minutes, the solution was cooled to -40 °C and DIBAL (1.0 M in

hexane) (2.5 equiv) was added dropwise. After 40 minutes at $-40\text{ }^{\circ}\text{C}$, the reaction was quenched with 1N HCl and it was slowly warmed to room temperature. The aqueous layer was extracted with ethyl acetate. The combined organics were washed with water, washed with NaHCO_3 , washed with saturated NaCl, dried and concentrated in vacuo.

N-benzyloxycarbonylalaninal (18): ^1H NMR (300 MHz, CDCl_3) δ 1.38 (d, $J = 7.5\text{ Hz}$, 3H), 4.25-4.38 (m, 1H), 5.127 (s, 2H), 7.34-7.37 (m, 5H); IR (CDCl_3) 3440, 3000, 1720, 1500, 1230 cm^{-1} .

N-benzyloxycarbonylglycinal (19): ^1H NMR (CDCl_3) δ 4.14 (d, $J = 7.2\text{ Hz}$, 2H), 5.134 (s, 2H), 7.370-7.30 (m, 5H), 9.65 (s, 1H).

General Procedure for the Aldol Condensation. To a solution of LDA (1.1 equiv, prepared from *n*-BuLi (1.1 equiv) and diisopropylamine (1.2 equiv) in THF (0.25 M) at $-78\text{ }^{\circ}\text{C}$ was added a solution of ketone (1 equiv) in THF (2 M). The solution was stirred at $-78\text{ }^{\circ}\text{C}$ for 90 minutes. The aldehyde (1 equiv) in THF (2 M) was added to the $-78\text{ }^{\circ}\text{C}$ reaction. Acetic acid/ CH_2Cl_2 (10%) was added to quench the $-78\text{ }^{\circ}\text{C}$ reaction. After warming to room temperature, the aqueous layer was extracted with CH_2Cl_2 . The combined organics were dried and concentrated in vacuo.

N-benzyloxycarbonyl-2-amino-3-hydroxydocos-13-en-5-one (20): $R_F = .29$ (2:1 H:EA); ^1H NMR (300 MHz, CDCl_3) δ .919 (t, $J = 6.7$, 3H), 1.12 (d, $J = 6.9\text{ Hz}$, 3H), 1.306 (br, 24H), 2.01-2.08 (m, 4H), 2.40 (t, $J = 7.32\text{ Hz}$, 2H), 2.50 (d, $J = 6.3\text{ Hz}$, 2H), 3.61-3.72 (m, 1H), 4.00-4.07 (m, 1H), 5.08 (s, 2H), 5.37 (m, 2H), 7.29-7.35 (m, 5H); ^{13}C NMR (75.46 MHz, CDCl_3) δ 14.06, 14.91, 22.61, 23.42, 27.14, 29.02, 29.24, 29.44,

29.62, 29.68, 31.82, 43.50, 45.61, 50.32, 64.89, 66.68, 69.92, 126.83, 127.35, 127.96, 128.01, 128.34, 128.40, 129.63, 129.91, 136.34, 155.99, 211.66; IR (Neat) 3311, 2919, 2848, 1707, 1685, 1544, 1464 cm^{-1} ; MS (CI-NH₃) *m/e* 169, 197, 225, 298, 488 (M+1), 505 (M+NH₄).

N-benzyloxycarbonyl-1-amino-2-hydroxyhenicos-12-en-4-one (21): $R_F = .28$ (2:1 H:EA); ¹H NMR (300 MHz, CDCl₃) δ .882 (t, J = 6.3 Hz, 3H), 1.271 (br, 24H), 2.00 (m, 4H), 2.41 (t, J = 7.3 Hz, 2H), 2.58 (d, J = 6.9 Hz, 2H), 3.11-3.23 (m, 1H), 3.31-3.49 (m, 2H), 4.07-4.18 (m, 1H), 4.110 (s, 2H), 5.34 (m, 2H), 7.32-7.39 (m, 5H); IR (CDCl₃) 3444, 3053, 1717 (broad), 1516, 1465, 1267 cm^{-1} ; MS (CI-NH₃) *m/e* 474 (M+1), 491 (M+NH₄).

General Procedure for the Reduction of β -hydroxyketone.

To a solution of Me₄NBH(OAc)₃ (5 equiv) in CH₃COOH (1.25 M) and MeCN (1.25 M) at -20 °C was added a solution of β -hydroxyketone (1 equiv) in MeCN (2 M). The reaction was stirred for 2 days at -20 °C. The reaction was warmed to room temperature after the addition of a 0.5 N potassium sodium tartrate (3 mL/mm) solution. The solution was diluted with NaHCO₃ and the aqueous layer was extracted with CH₂Cl₂. The combined organics were washed with water, dried and concentrated in vacuo.

N-benzyloxycarbonyl-2-amino-3,5-dihydroxydocos-13-ene (22): $R_F = .27$ (2:1 H:EA); ¹H NMR (300 MHz, CDCl₃) δ .897 (t, J = 6.7 Hz), 1.12 (d, J = 6.6 Hz, 3H), 1.28 (br, 24H), 1.99-2.10 (m, 4H), 3.76-3.88 (m, 1H), 3.82-3.92 (m, 1H), 3.93-3.980 (m, 1H), 5.08 (s, 2H), 5.363 (m, 2H), 7.32-7.35 (5H); ¹³C NMR (75.46 MHz, CDCl₃) δ 14.02, 14.93, 22.58,

27.11, 29.22, 29.42, 29.47, 29.57, 29.68, 31.79, 37.38, 38.96, 51.40, 66.68, 68.90, 70.79, 127.96, 128.01, 128.30, 129.67, 129.82, 136.26, 156.27; IR (Neat) 3432, 2925, 2853, 1699, 1507 cm^{-1} ; MS (CI-NH₃) *m/e* 108, 125, 169, 225, 298, 490 (M+1), 507 (M+NH₄).

N-benzyloxycarbonyl-1-amino-2,4-dihydroxyheptacos-12-ene (23): $R_F = .21$ (2:1 H:EA); ¹H NMR (CDCl₃) δ .881 (t, J = 6.6 Hz), 1.270 (br, 25H), 2.20 (m, 4H), 3.13-3.25 (m, 2H), 3.32-3.42 (m, 1H), 3.88-3.98 (m, 1H), 3.99-4.09 (m, 1H), 5.11 (s, 2H), 5.34 (m, 2H), 7.32-7.37 (m, 5H); IR (Neat) 3341, 2951, 2847, 1677, 1545, 1466 cm^{-1} ; MS (CI-NH₃) *m/e* 476 (M+1), 493 (M+NH₄).

4-(N-benzyloxycarbonyl-1-aminoethyl)-6-(8-heptadecene)-2-oxo-1,3-dioxane (24): To a solution of diol 22 (0.30 g, 0.61 mmol) in 0.61 mL of dry CH₂Cl₂ at 0 °C was added pyridine (0.09g, 1.22 mmol). To the 0 °C reaction was added triphosgene (0.12g, 0.04 mmol). The reaction was monitored by TLC analysis until no diol remained. The reaction was poured into water and was concentrated in vacuo. The residue was dissolved in ethyl acetate and was washed with NaHCO₃. The ethyl acetate layers were dried with Na₂SO₄ and concentrated in vacuo. The reaction was purified by sg chromatography (2:1 H:EA) to yield 20% of 24 (0.063g, 0.12 mmol): $R_F = .25$ (2:1 H:EA); ¹H NMR (CDCl₃) δ .874 (t, J = 6.6 Hz, 3H), 1.267 (br, 27H), 1.82-1.88 (m, 2H), 1.99-2.03 (m, 4H), 3.83-3.96 (m, 1H), 4.34-4.43 (m, 1H), 4.49-4.60 (m, 1H), 5.085 (s, 2H), 5.342 (m, 2H), 7.30-7.36 (m, 5H); IR (Neat) 3316, 2951, 2922, 2852, 1733, 1716, 1690, 1541, 1464 cm^{-1} ; MS (CI-NH₃) *m/e* 399, 533 (M+18).

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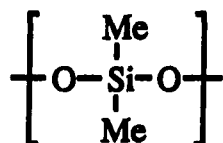
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PAPER II. THE SYNTHESIS OF SILICON CROSSLINKING AGENTS

INTRODUCTION

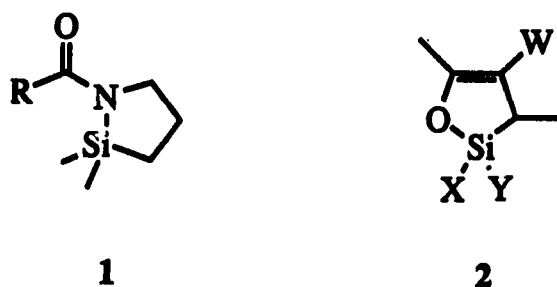
Organosilicon studies were initiated in the 1930's at Corning Glass Works. This early work led to the development of "Fiberglass" tapes and fabrics for electrical insulation. The urgency of World War II pushed the Dow Corning research in the organosilicon area ahead to develop ignition sealing products, coating varnishes, silicone wire coatings, and lubricating gels. The development of these various polysiloxanes from the hydrolyzed chlorosilanes was innovative. The general structural building block is shown below.¹ These polysiloxanes



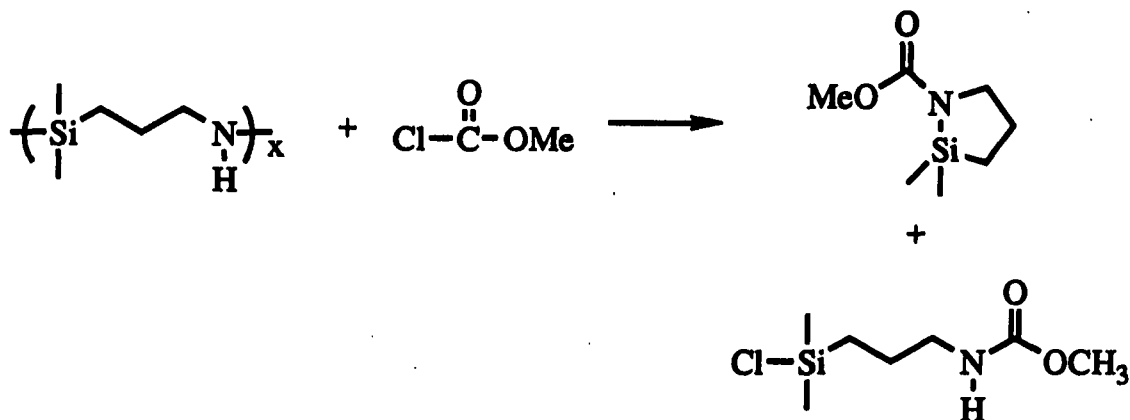
are polymer chains which can be further connected by branches. This branch joining the two chains is referred to as a crosslink. Crosslinking is distinguished by the occurrence of gelation at some point in the polymerization. The gel corresponds to the formation of an infinite network in which polymer molecules have been crosslinked to each other to form a macroscopic molecule. Crosslinking is extremely important from an industrial viewpoint. The physical behavior and mechanical properties of long chain polymers depend not only on chemical structure and chain mobility, but also on the association between adjacent molecules. Crosslinks between polymer chains represent permanent bonds. The effect of the bonds depends on the

chemical structure of the individual bonds, molecular mobility, morphology, and the distribution of the crosslinks.²

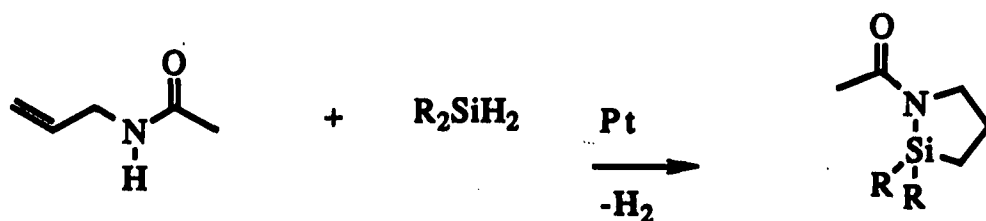
Two silicon heterocycles were targeted as potential crosslinking agents. One is the cyclic silylamide **1** and the other is the cyclic silyl enol ether **2**.



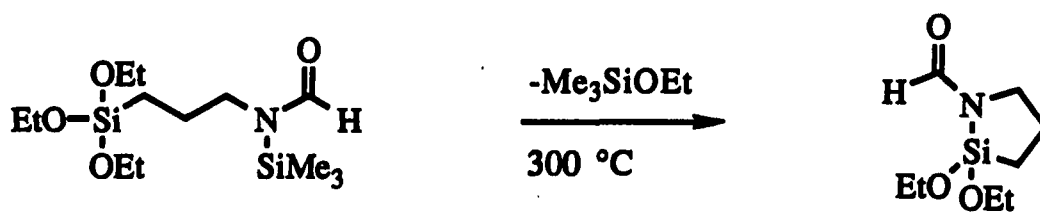
In the examination of the literature for syntheses of heterocycle **1**, three procedures were reported. Preparation of the analogous cyclic silylamide carbamate³ was published in a 45% yield. An amide



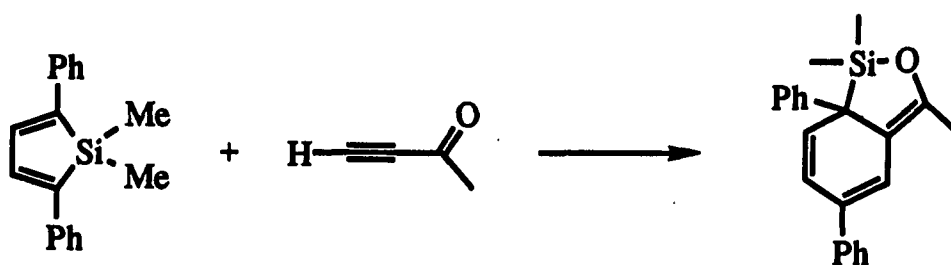
hydrosilation was reported in a General Electric patent.⁴ A pyrolysis



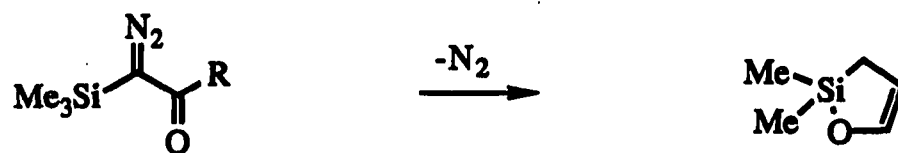
at 300 °C for ten minutes produced a cyclic silylamide in a 98% yield.



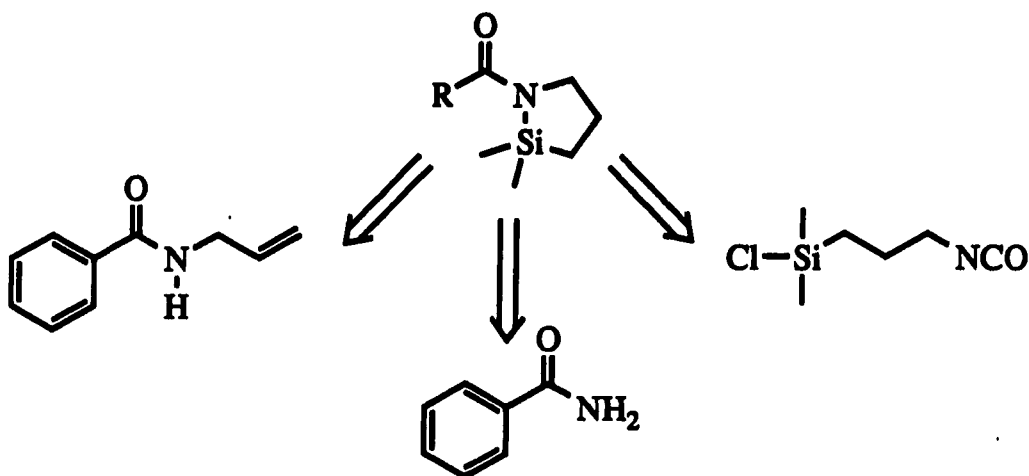
The cyclic silyl enol ethers have been reported in the literature. They have been produced by pyrolysis. Barton⁶ reported the Diels-Alder addition of butyn-3-one to a silole to afford bicyclic silyl enol ether in over 85% yield. Thermal decomposition of alpha-diazo-



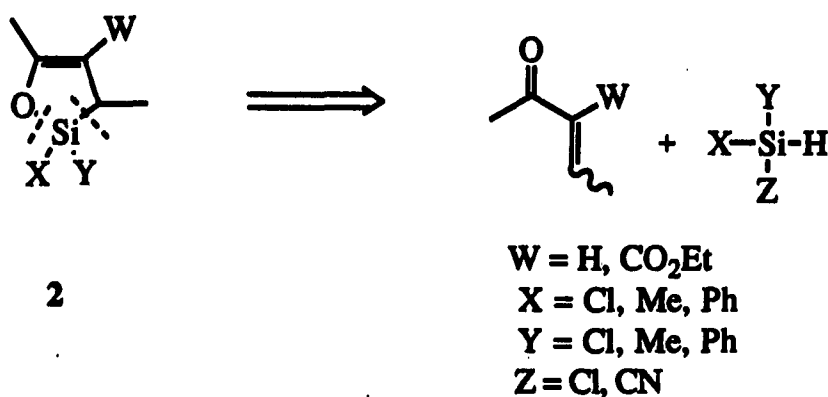
alpha-(trialkylsilyl)alkanes was found to be a useful route to 1-oxa-2-sila-4-cyclopentenes.⁷



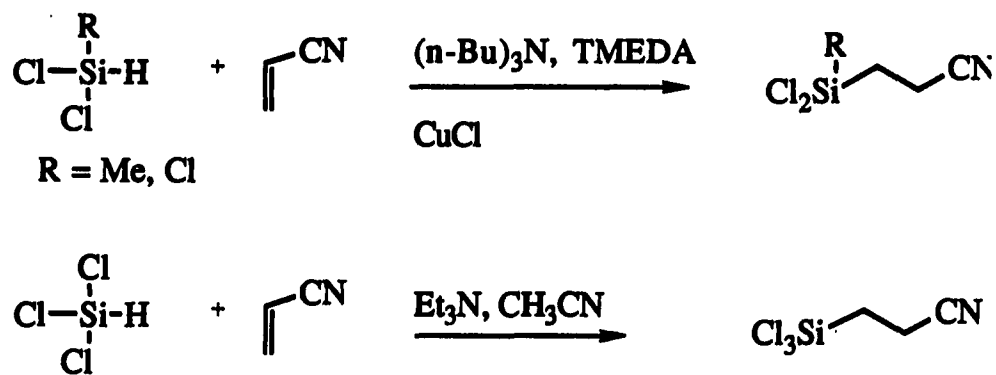
Three general strategies for the synthesis of cyclic silylamide **1** were evaluated: the hydrosilation of allylbenzamide, silation of the dianion of a benzamide, or nucleophilic attack of an organolithium reagent on an isocyanate.



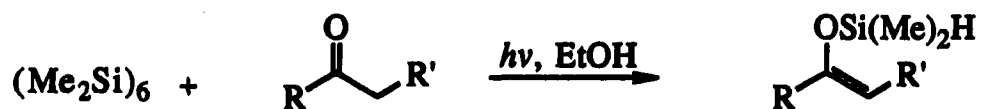
The cyclic silyl enol ether **2** could be approached by various strategies. The major bond formations will be between the oxygen-silicon and carbon-silicon bond.



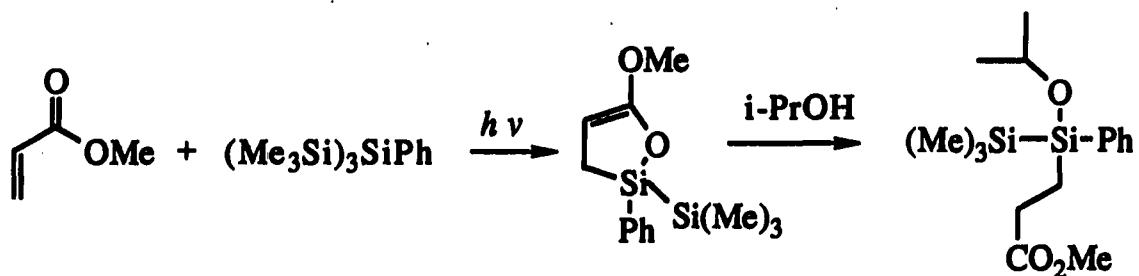
In the context of a synthesis of **2**, the base catalyzed hydrosilation was initially explored. Bluestein⁸ and Nozakura⁹ reported the hydrosilation of acrylonitrile in the presence of a trialkylamine. Similar conditions were attempted on our two unsaturated ketones.



Metal catalysis was examined by Yurev in the hydrosilation of methyl methacrylate. Yurev¹⁰ reported that nickel acetylacetonate ($\text{Ni}(\text{acac})_2$) provided successful results. Speier¹¹ used chloroplatinic acid (CPA) to selectively provide the beta-adduct.



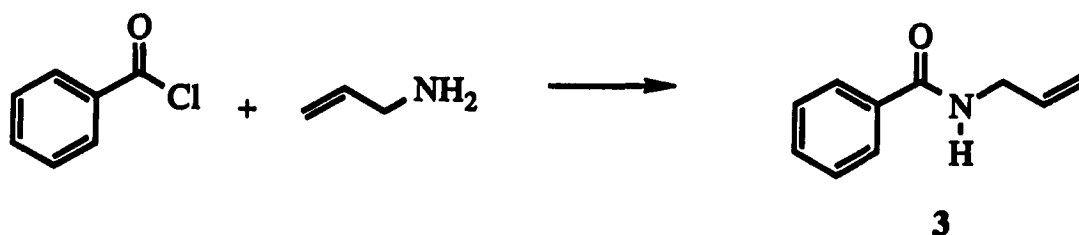
silyl ethers. Kumada reported the reaction of trimethylsilylphenylsilylene with methyl acrylate.¹⁵



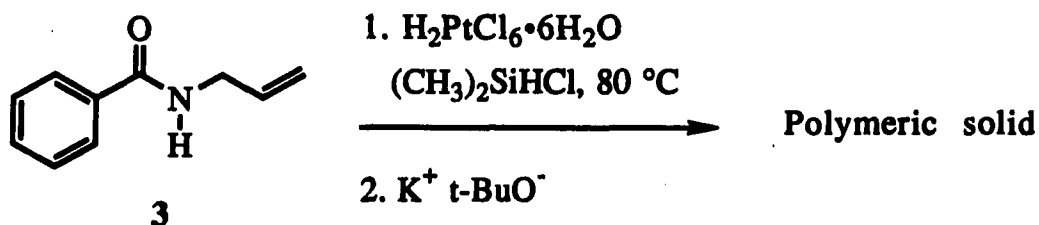
The compounds which will be discussed in the next section of the dissertation are now being tested as crosslinking agents by the Dow Corning Corporation.

RESULTS AND DISCUSSION

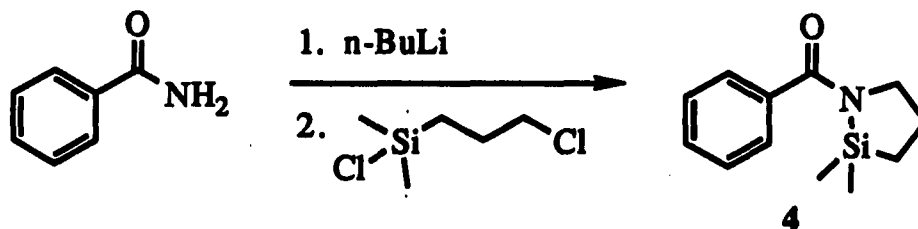
Our work in the synthesis of silicon heterocycles began with the cyclic silylamide **1**. We initially examined the hydrosilation of **3** with dimethylchlorosilane followed with potassium *tert*-butoxide to promote the cyclization to **1**. Our starting material benzamide **3** was synthesized from benzoyl chloride and allylamine in a 95% yield.



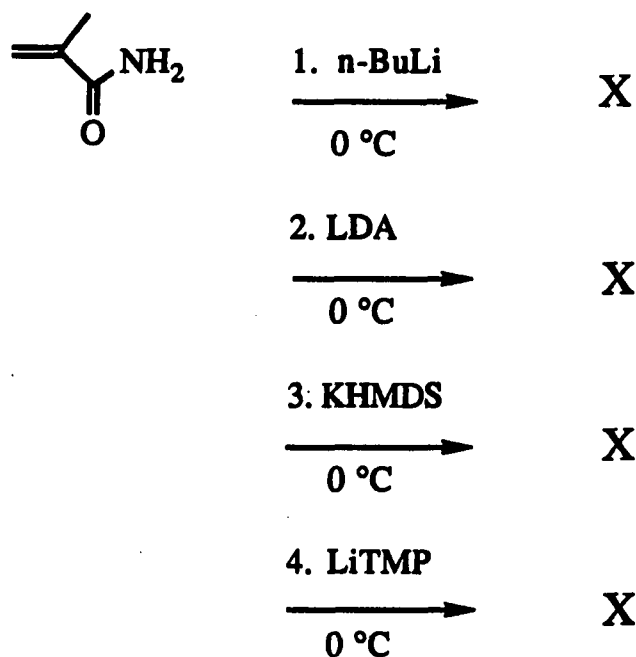
Allylbenzamide **3** was placed in a sealed tube in the presence of chloroplatinic acid (CPA), dimethylchlorosilane and CH_2Cl_2 at 80°C . Potassium *tert*-butoxide was added to the resulting silylated product to generate the anion of the amide which then nucleophilically displaced the chloride to give a polymeric solid. This polymeric solid was insoluble in standard organic solvents.



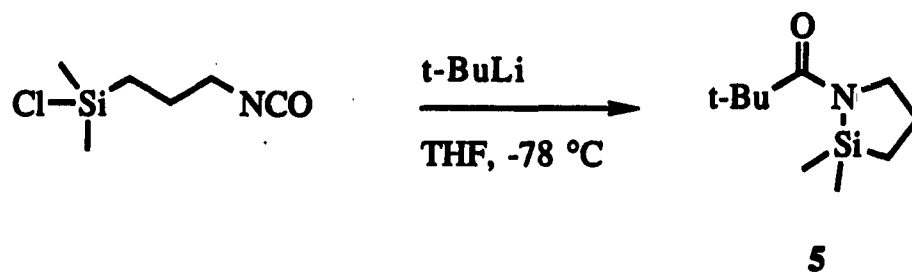
We next explored the generation of the dianion of benzamide with *n*-butyllithium at 0 °C in tetrahydrofuran followed by quenching at 0 °C with chloropropyltrimethylchlorosilane. This reaction formed 100% of the cyclic silylamide **4**. We then attempted to generate the dianion of



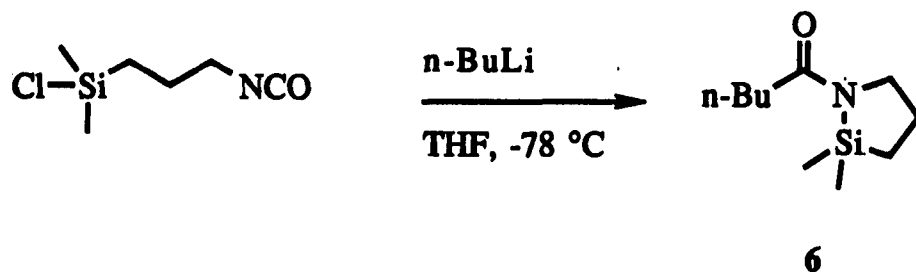
methacrylamide with *n*-butyllithium, lithium diisopropylamide (LDA), potassium 1,1,1,3,3,3-hexamethyldisilazide (KHMDS), or lithium 2,2,6,6-tetramethylpiperidide (LiTMP), but the bases underwent Michael addition to the unsaturated amide.



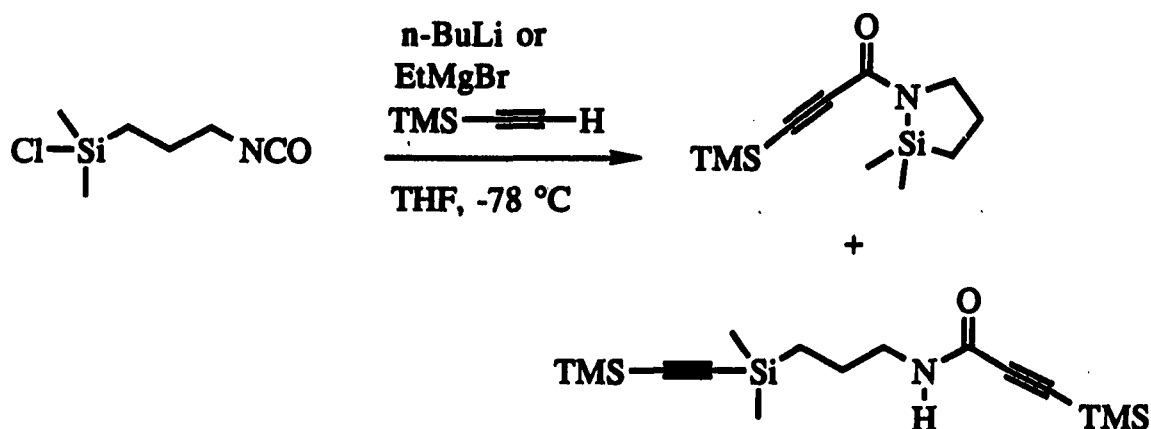
Finally, we pursued the strategy of having the chlorosilane built into the starting material. To commercially available 3-isocyanatopropylchlorodimethylsilane in tetrahydrofuran at $-78\text{ }^{\circ}\text{C}$ was added *tert*-butyllithium. We expected addition to the isocyanate. The resulting amide anion displaced the chloride to give cyclic silylamide **5**



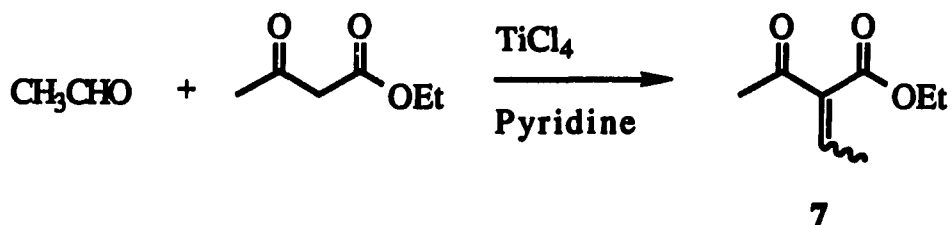
in a 100% yield. Using the same conditions with *n*-butyllithium at $-78\text{ }^{\circ}\text{C}$, we isolated 90% of the cyclic silylamide **6**.



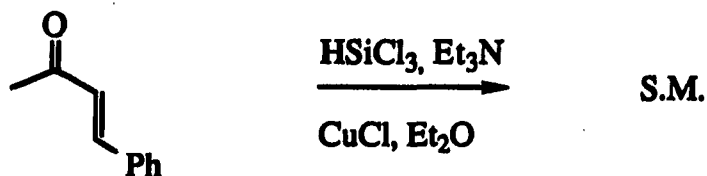
Reaction of lithium or magnesium trimethylsilylacetylide with the isocyanate gave unusual results. The desired cyclic silylamide formed in a 30% yield, but the major product by GC-MS/FT-IR was the bis addition product.



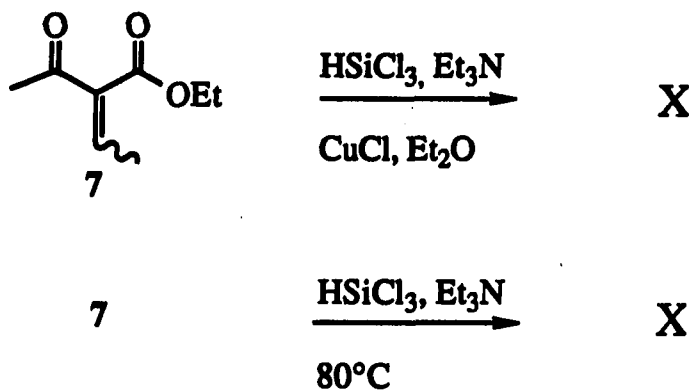
The cyclic silyl enol ether **2** was our next synthetic target. We initially needed to synthesize β -ketoester **7**. Ethyl acetoacetate, acetaldehyde and tetrahydrofuran were added to a solution of titanium tetrachloride at 0 °C. Addition of pyridine followed by aqueous work up provided a 60% yield of **7**.



Initially, the base catalysis conditions of Bluestein⁸ and Nozakura⁹ were explored. Reaction of *trans*-4-phenyl-3-buten-2-one, trichlorosilane, cuprous chloride, and triethylamine at room temperature returned starting material. The same conditions were attempted on

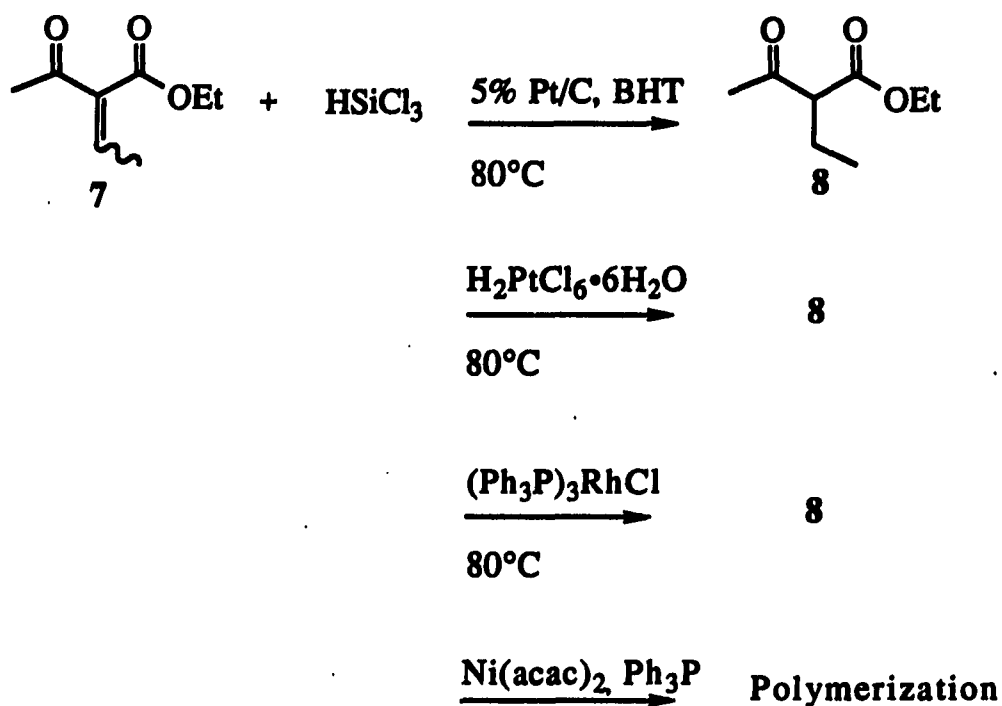


compound 7 since it would be expected to be a better Michael acceptor; however, the reaction yielded an unidentifiable product. Heating a mixture of trichlorosilane, triethylamine and compound 7 also failed.

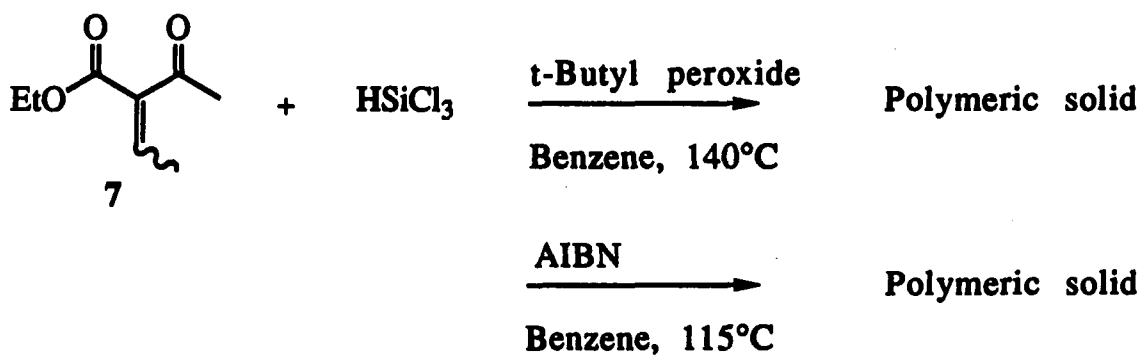


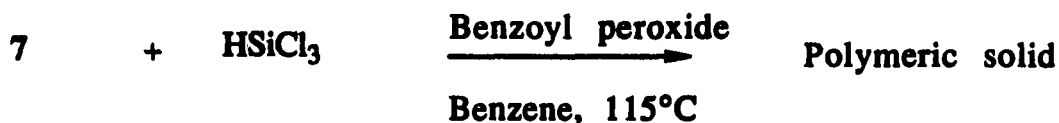
These reactions were also attempted with toluene as a solvent, but the same results occurred.

Hydrosilylation with metal catalysts was attempted after the failure of our first approach. β -Keto ester 7 was heated in benzene with trichlorosilane, and 5% Pt/C to give the reduction product 8 in a quantitative yield. The reaction was pursued with CPA and Wilkinson's catalyst with the same result. Heating 7 at 80 °C with nickel acetylacetonate and triphenylphosphine yielded a polymeric solid.

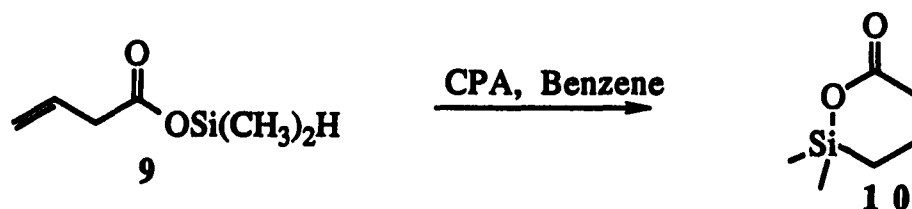


Our third approach involved the hydrosilation under radical conditions. Heating **7** in presence of trichlorosilane with radical initiators such as *tert*-butylperoxide, 2,2'-azabisisobutyronitrile (AIBN), or benzoyl peroxide yielded a polymeric solid.

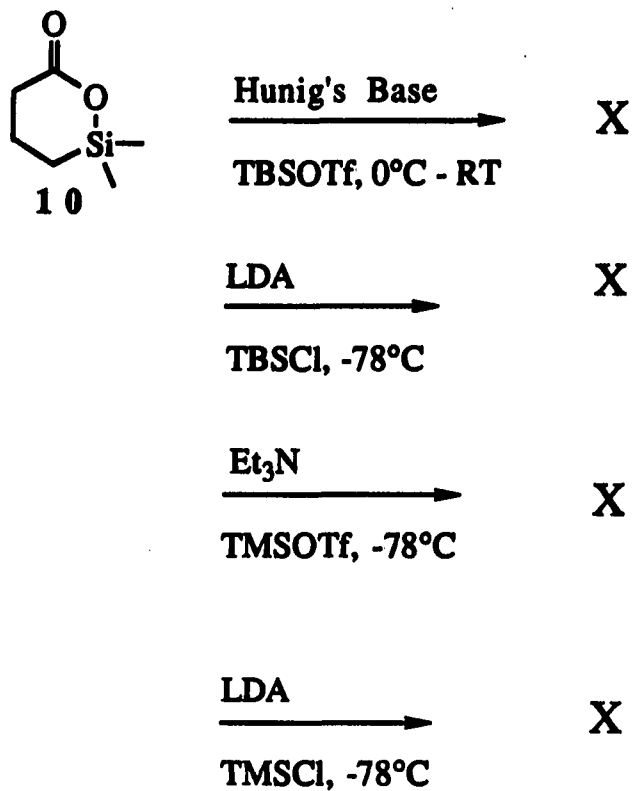




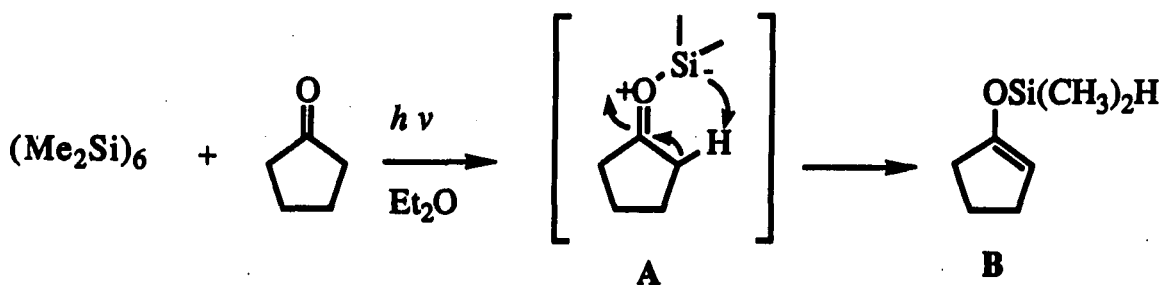
The fourth approach involved the initial work of Mironov.¹³ We attempted to synthesize the six-membered ring cyclic enol silyl ether. The reaction of vinyl acetic acid with triethylamine and dimethylchlorosilane at 0 °C yielded 64% of ester 9. The silyl ester 9 was reacted with CPA and benzene at 80 °C to yield 82% of the silalactone 10



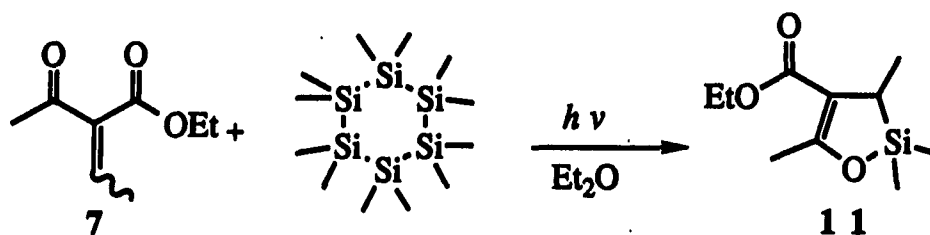
Several conditions were examined to form the enol silyl ether of silalactone 10. Diisopropylethylamine was added to a 0 °C solution of silalactone 10 in CH_2Cl_2 with addition of *tert*-butyldimethylsilyl trifluoromethanesulfonate (TBDMSOTf) after 15 minutes. The crude reaction mixture seemed to have several products by NMR. The reaction of 10 with LDA and *tert*-butyldimethylchlorosilane (TBDMSCl) failed. After considering that the *tert*-butyldimethylsilyl group could be sterically too demanding, the same conditions were examined with trimethylsilyl trifluoromethanesulfonate (TMSOTf) and trimethylchlorosilane (TMSCl) with no success.



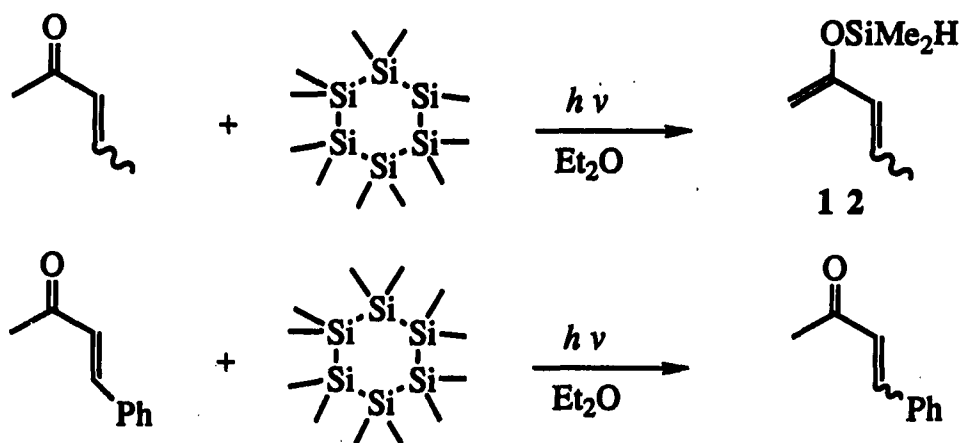
The fifth approach proved successful. As Ando¹⁴ had reported, dimethylsilylene does react with carbonyl compounds to generate enol silyl ethers. Initial reaction with the carbonyl results in zwitterion A which undergoes hydrogen-abstraction to yield the enol silyl ether B.



We reacted the dodecamethylcyclohexasilane with unsaturated compound 7 in the hope that the zwitterion would undergo a conjugate addition. When 7 was photolyzed with dodecamethylcyclohexasilane for 2 hours with a Hanovia medium pressure mercury lamp, the desired cyclic enol ether 11 was isolated by preparative gas chromatography

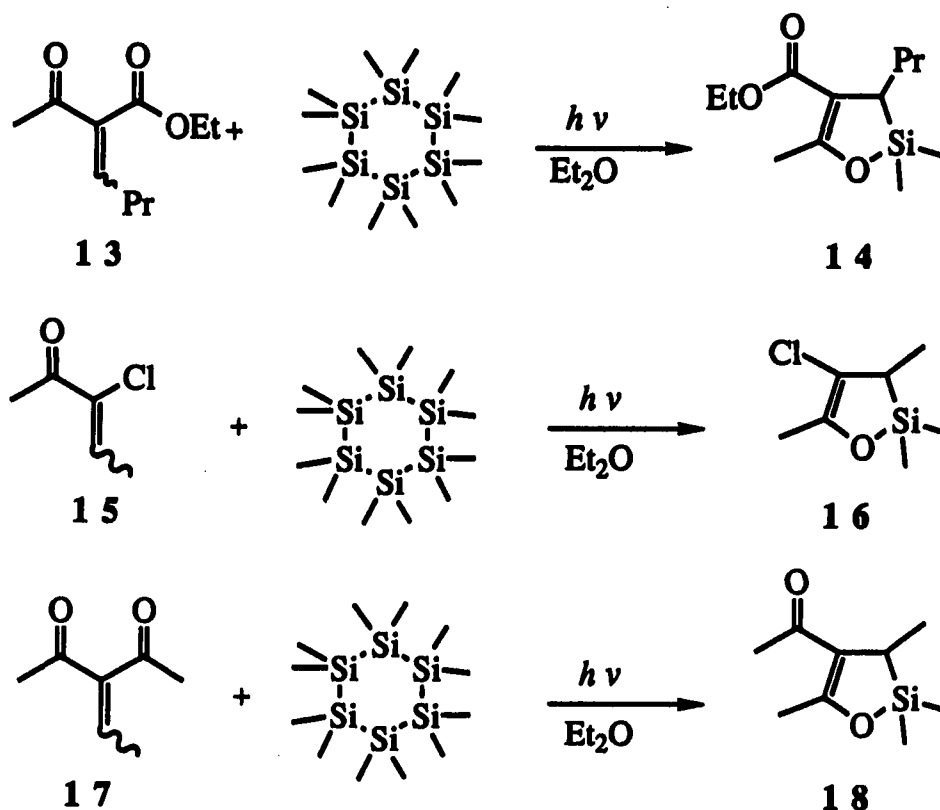


in a 19% yield. Other compounds were then studied. The photolysis of 3-penten-2-one afforded diene species 12 in 11% yield. Irradiation of *trans*-4-phenyl-3-buten-2-one resulted in only

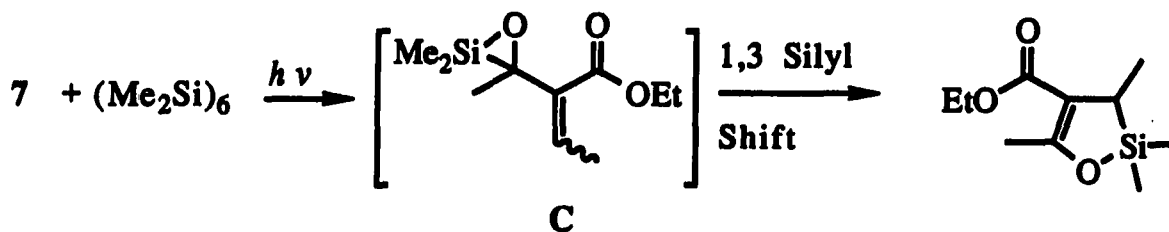


isomerisation to a mixture of *cis*/*trans* isomers. Keto ester 13¹⁶ was photolyzed to give 15% of the desired product 14. Chloroketone 15¹⁷

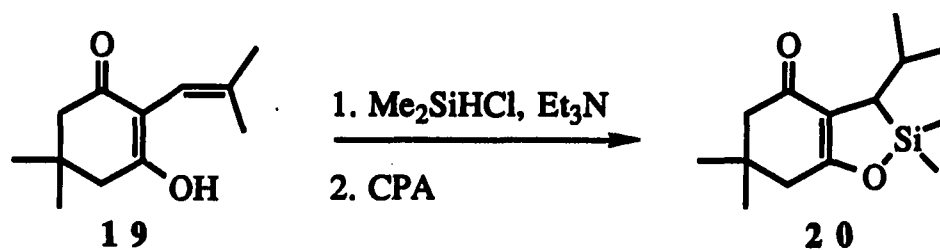
was prepared in a 71% yield by reacting 3-penten-2-one with chlorine, followed by triethylamine. The photolysis of 15 furnished 31% yield of 16, but this compound decomposed when purification was attempted by preparative gas chromatography. Diketone 17¹⁸ reacted under the photolytic conditions to yield 32% of the cyclic silyl enol ether 18.



We postulated that 7 was reacting with dimethylsilylene to yield intermediate C which would undergo a 1,3 silyl shift to give the desired cyclic enol ether.



With the success of the silylene route, we began to examine the formation of the cyclic silyl enol ether by an intramolecular hydrosilylation since dodecamethylcyclohexasilane would be too expensive to use on a large industrial scale. Diketone **19** was prepared from 5,5-dimethyl-1,3-cyclohexanedione, isobutyraldehyde, pyrrolidine, and 10% hydrochloric acid by the method of Yoshida.¹⁹ Silylation of alcohol **19** with triethylamine and chlorodimethylsilane returned starting material. Generation of the enol silyl ether in situ followed by removal of a minimum amount of ether, dilution with benzene and heating with CPA yielded 28% of bicyclic ketone **20**. One advantage to this route is



the ability to change the groups attached to the chlorosilane.

Furthermore, Komarov²⁰ has published the vinylation of a CH acid such as 1,3-indandione with acetylene or phenylacetylene in the presence of

a mercury catalyst. This may be a better method of preparing compounds such as **19** on an industrial scale.

CONCLUSION

This chemistry has provided interesting methods for the synthesis of cyclic silylamides and cyclic silyl enol ethers. The instability of these heterocycles has made this work very challenging. The isolated compounds are short-lived, which encourages the pursuit of both structural variants of these heterocycles, and newer methods of preparation which will one day lead to increased stability.

EXPERIMENTAL

Unless otherwise noted, materials were obtained from commercial suppliers and were used without purification. Diethyl ether (Et₂O) and tetrahydrofuran (THF) were distilled from sodium benzophenone ketyl. Benzene was distilled from lithium aluminum hydride. Dichloromethane and acetonitrile were distilled from calcium hydride. All reactions were conducted under nitrogen atmosphere and all extracts were dried over anhydrous sodium sulfate. Apparatus for experiments requiring anhydrous conditions was flame-dried under a stream of nitrogen or dried in a 150 °C oven for 12 h and cooled under a stream of nitrogen. Preparative gas chromatography was performed on a Varian 920 using 15-25% SE-30 on chromasorb W packed columns. Gas chromatographic analyses were performed on a Hewlett Packard 5790A using a 30 meter DB-5 capillary column. Mass spectra were recorded using a Hewlett Packard 5970B (GC/MS) operating at 70 eV. Infrared spectra were obtained on a Perkin-Elmer 1320 spectrophotometer or a Hewlett Packard 5965A (GC/IR) and are reported in cm⁻¹. Proton nuclear magnetic resonance spectra (300 MHz) were obtained using a Nicolet Magnetics Corporation NMC-1280 spectrometer. All chemical shifts are reported in δ relative to CDCl₃. Splitting patterns are designated as s (singlet), d (doublet), t (triplet), q (quartet), dd (doublet of doublets), ABq (AB quartet), and m (multiplet); a br suffix indicates a broadened pattern. Carbon-13 NMR spectra (75.46 MHz) were obtained on a Nicolet NMC-1280 spectrometer and

are reported in δ relative to CDCl_3 (77.00 ppm). The purity of all title compounds was judged to be $\geq 95\%$ by ^1H NMR spectral determination.

N-allylbenzamide (3): To a solution of allylamine (3.71 g, 65 mmol) in 100 mL of CH_2Cl_2 at 0 °C was added benzoyl chloride (4.21 g, 30 mmol). After stirring overnight, the reaction was poured into 100 mL of H_2O with 5 mL of HCl. The layers were separated and the aqueous layer was extracted three times with CH_2Cl_2 . The combined organics were washed with saturated NaCl solution. The solution was dried and concentrated in vacuo to give 4.64 g (95%) of a clear liquid: ^1H NMR (300 MHz, CDCl_3) δ 4.05-4.12 (m, 2H), 5.1-5.2 (m, 2H), 5.87-6.00 (m, 1H), 7.40-7.52 (m, 3H), 7.76-7.79 (m, 2H).

N-benzoyl-2,2-dimethyl-1-aza-2-silacyclopentane (4): To a solution of benzamide (0.363 g, 3 mmol) in 6 mL of THF at 0 °C was added *n*-BuLi (2.55 mL, 6.3 mmol). After one hour, the chloropropyldimethylchlorosilane was added to the 0 °C reaction mixture with continued stirring for 2 hours. Hexane was added to precipitate the LiCl. The solution was filtered through Celite and concentrated in vacuo to give 0.65 g (100%) of a solid: ^1H NMR (300 MHz, CDCl_3) δ .076 (s, 6H), .58-.75 (m, 2H), 1.41-1.56 (m, 2H), 3.47-3.61 (m, 2H), 7.42-7.82 (m, 5H); IR (CH_2Cl_2) 3020, 2980, 2960, 1660, 1265 cm^{-1} .

General Procedure for the reaction of 3-isocyanatopropyldimethylchlorosilane with alkyl lithium reagents: To a solution of 3-isocyanatopropyldimethylchlorosilane (0.44 g, 0.25 mmol) in 1 mL of dry THF at -78 °C was added dropwise an alkyl lithium (0.25

mmol) reagent. After stirring for 2.5 hours at $-78\text{ }^{\circ}\text{C}$, the reaction mixture was diluted with dry hexane. The solution was filtered through Celite and concentrated in vacuo.

(N-Pivaloyl-2,2-dimethyl-1-aza-2-silacyclopentane (5): ^1H NMR (300 MHz, CDCl_3) δ .047 (s, 6H), .45-.52 (m, 2H), 1.19 (s, 9H), 1.45-1.53 (m, 2H), 3.15-3.24 (m, 2H); ^{13}C NMR (75.46 MHz, CDCl_3) δ .057, 15.18, 23.25, 27.36, 38.23, 42.16, 177.99; IR (Gas) 2961, 1696, 1497, 1261, 830 cm^{-1} ; GC-MS *m/e* 199, 100, 57.

N-(1-oxopentyl)-2,2-dimethyl-1-aza-2-silacyclopentane (6): ^1H NMR (300 MHz, CDCl_3) δ .094 (s, 6H), .43-.52 (m, 2H), .84-.97 (m, 7H), 1.25-1.35 (m, 2H), 2.15-2.28 (m, 2H), 3.18-3.32 (m, 2H); IR (CH_2Cl_2) 2960, 2920, 2880, 1660 cm^{-1} .

3-(carboethoxy)-2-pentanone (8): A solution of 3-carboethoxy-3-penten-2-one (0.050 g, 0.32 mmol), trichlorosilane (0.130 g, 0.96 mmol), 5% Pt/C (8 mg) and 2,6-di-tert-butyl-4-methylphenol (8 mg) were heated at $80\text{ }^{\circ}\text{C}$ in a sealed tube for 18 hours. The reaction was concentrated in vacuo: ^1H NMR (300 MHz, CDCl_3) δ 1.27 (t, $J = 5.4\text{ Hz}$, 3H), 1.49 (t, $J = 6\text{ Hz}$, 3H), 1.74-1.78 (m, 2H), 2.01 (s, 3H), 2.56 (q, $J = 6.1\text{ Hz}$, 2H), 4.0 (t, $J = 5.5\text{ Hz}$); IR (Neat) 2980, 1750, 1710, 1100 cm^{-1} .

General Procedure for the Photolysis Reaction. A quartz tube containing a solution of unsaturated ketone (1.5 equiv) and dodecamethylcyclohexasilane (1 equiv) in dry ether (0.085 M) was degassed with argon at $-40\text{ }^{\circ}\text{C}$ for 15 minutes. The reaction was photolyzed with a Hanovia medium pressure mercury lamp for 2-8

hours until gas chromatography showed partial completion of the reaction. The reaction was concentrated to a minimum amount of solvent prior to purification by preparative gas chromatography. The reported yields are uncorrected gas chromatography yields.

4-Carboethoxy-2,2-dimethyl-3,5-dimethyl-1-oxa-2-silacyclopent-4-ene (11): ^1H NMR (300 MHz, CDCl_3) δ .266 (s, 3H), .359 (s, 3H), 1.12 (d, $J = 7.5$ Hz, 3H), 1.29 (t, $J = 7.0$ Hz, 3H), 2.265 (d, $J = 1.0$ Hz, 3H), 4.18 (q, $J = 7.2$ Hz, 2H); IR (Gas) 2973, 1715, 1606, 1453, 1308, 1267, 1160, 1065 cm^{-1} ; GC-MS m/e 214, 199, 169, 141, 75.

2-(Dimethylsilyloxy)-1,3-pentadiene (12): IR (Gas) 3121, 3037, 2144, 1595, 1315, 1263, 1025 cm^{-1} ; GC-MS m/e 142, 127, 109, 99, 75, 61.

4-Carboethoxy-2,2-dimethyl-5-methyl-3-propyl-1-oxa-2-silacyclopent-4-ene (14): IR (Gas) 2968, 1715, 1606, 1465, 1306, 1262, 1152, 1073 cm^{-1} ; GC-MS m/e 242, 227, 199, 169, 153, 125, 75.

4-Chloro-2,2-dimethyl-3,5-dimethyl-1-oxa-2-silacyclopent-4-ene (16): IR (Gas) 2968, 1647, 1383, 1258, 1161; GC-MS m/e 176, 161, 141, 125, 93, 75.

4-Acetyl-2,2-dimethyl-3,5-dimethyl-1-oxa-2-silacyclopent-4-ene (18): ^1H NMR (300 MHz, CDCl_3) δ .277 (s, 3H), .380 (s, 3H), 1.13 (d, $J = 7.5$ Hz, 3H), 2.24 (d, $J = 1.2$ Hz, 3H), 2.27 (s, 3H); IR (Gas) 2964, 1679, 1578, 1380, 1264, 1159, 1000 cm^{-1} ; GC-MS m/e 184, 169, 97, 75.

3-(1-Methylethyl)-2,3,4,5,6,7-hexahydro-2,2,5,5-tetramethyl-4-oxo-1,2-benzoxasilole (20): To a solution of

diketone **19** (0.050 g, 0.25 mmol) in 1.0 mL of dry THF at 0 °C was added Et₃N (0.028 g, 0.27 mmol). The solution was stirred for 30 minutes at 0 °C. Chlorodimethylsilane (0.026 g, 0.27 mmol) was added dropwise and the solution was allowed to warm to room temperature. After 60 minutes, 0.75 mL of THF was removed and the reaction was diluted with 2.0 mL of dry benzene. To the reaction was added 30 μL of 3% CPA in amyl alcohol and the reaction was heated at 80 °C for 20 hours: ¹H NMR (300 MHz, CDCl₃) δ .22 (s, 3H), .55 (s, 3H), .83 (d, J = 6.9 Hz, 1H), .97 (d, J = 6.9 Hz, 1H), 1.07 (s, 3H), 1.11 (s, 3H), 2.22-2.25 (m, 2H), 2.31-2.34 (m, 2H); IR (Gas) 2965, 1675, 1545, 1365, 1135 cm⁻¹; GC-MS *m/e* 252, 237, 209, 153, 125, 75.

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GENERAL SUMMARY

The importance of synthetic organic chemistry to other scientific disciplines has been demonstrated in this dissertation. The first paper discusses the synthesis of FB₁ analogs and the toxicological testing results of these analogs. This paper has provided insight into the structural requirements needed for biological activity. This work could aid in the determination of a safe level of FB₁ in human food sources. These analogs could be used for the development of methods for the decomposition of FB₁ in food sources. The synthesis of radiolabelled analogs could be used to determine the mode of action in living systems. As more is discovered about FB₁, organic chemists will continue to find ways to contribute to this interdisciplinary research.

The synthesis of nitrogen and oxygen silicon heterocycles in the second paper demonstrates the contribution synthetic organic chemists can provide to the area of silicon research. The application of known organic transformations to organo-silicon chemistry will provide new approaches to silicon compounds. These compounds could make contributions in the areas of polymer chemistry and in the established areas of industrial silicon chemistry.

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